Vision-based relative state and inertia ratio estimation of un-cooperative targets

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Abstract—This paper develops an algorithm to estimate the relative pose, motion, and inertia ratio of an unknown target using stereoscopic vision. First, the positions and velocities of detected feature points on the spacecraft are estimated. Second, the angular velocity and attitude of the target are estimated by the least square method and q-method, respectively. Third, the position and velocity of the center of mass of the spacecraft are recovered. Finally, the inertia ratio is estimated based on the angular momentum conversation using the estimated angular velocity and attitude of the target. Numerical simulations are conducted to demonstrate the proposed algorithm.

Keywords-relative state; inertia ratio; un-cooperative spacecraft; stereoscopic vision; 3-D reconstruction; constraints.

I. INTRODUCTION (Heading 1)

Estimating the dynamical parameters (pose and motion) and inertia property of an unknown target is one of the critical technologies during on-orbit autonomous close proximity operation missions [1], such as spacecraft rescuing, repairing, and capturing. These unknown targets are usually non-cooperative without prior information about their structural and inertia parameters, nor artificial markers located known positions on the target. Therefore, the relative state and inertia properties are complete unknown. For a safe close proximity operation mission, such like approach to and capture the target, the relative state can provide important navigation information for the control strategy. Besides, the knowledge of inertia properties of the target is necessary to propagate its state and can be used in the motion prediction before capture and despun control design after capture.

Numerous vision-based estimation methods were proposed in the past [2-6] for non-cooperative targets. Terui et al. [7] proposed a 3D model matching method to estimate the relative state with the prior known structure of the target. Dehann et al. [8] adopted the stereo vision to measure the geometric center of the target as an approximation to its center of mass (CM). Du et al. [9] determined the pose of a large non-cooperative target based on two collaborative cameras by recognizing a rectangular feature on the target. Dong and Zhu [10, 11] estimated the pose and motion of a non-cooperative target with a monocular camera using some known feature point. Lichter and Dubowsky [12] presented a method to estimate the state using thousands of 3D points acquired from several cooperative 3D sensors, which leads to heavy computational efforts. Tweddle [13] proposed a Simultaneous Localization and Mapping (SLAM) solution to estimate the full state of a spinning non-cooperative target, with high computation complexity. Shay Segal [14] calculated the pose and motion with image position and velocity measurements of feature points on the target. By applying torque on the target produced from reaction wheels or a robotic arm, the inertia parameters are in-flight estimated [15, 16].

The purpose of this paper is to develop an innovative stereo vision-based algorithm to estimate the relative pose, motion, and inertia ratio of a non-cooperative target without prior knowledge about the positions of the feature points. The inertia ratio is estimated based on the conservation of angular momentum, assuming that the target is freely tumbling without any external torque on it.

The remainder of this paper is organized as follows. Section II provides the observation model. Section III gives the details of the proposed estimation algorithm. In Section IV, the newly proposed algorithm is validated by numerical simulation. Finally, Section V concludes the paper.

II. OBSERVATION MODEL

As depicted in Fig. 1, assume that two cameras mounted on the chaser are identical with parallel image planes and separated by a baseline \( b \), the distance between the right camera’s center of projection \( \text{COP}_r \) and the left camera’s center of projection \( \text{COP}_l \). The target is in the field of view (FOV) of both cameras. Two coordinate frames are used to describe the relative pose and motion of the unknown target: a) the target body frame \( \mathcal{T} \) is a Cartesian frame with its origin at the CM of the target; b) the camera frame \( \mathcal{C} \) is a Cartesian frame with its origin attached to \( \text{COP}_r \), \( \hat{x}_c \) and \( \hat{z}_c \) axes parallel to the image plane and \( \hat{z}_c \) axis pointing towards to the target. Here the frame \( \mathcal{C} \) is assumed to be aligned with the inertial frame. The position and attitude of the target are defined by the vector \( \mathbf{p}_o \) in the frame \( \mathcal{C} \) and the direction cosine matrix \( \mathbf{R}_c \).
converting vectors from the frame $\mathcal{C}$ to the frame $T$. The corresponding translational and rotational velocities are denoted as $\dot{\rho}_t$ and $\omega_t$, respectively.

![Fig. 1. Stereo vision measurement system.](image)

Assuming that an arbitrary point $P_t$ on the target has the 3D coordinates $p=[\rho_t \ \nu_t \ \omega_t]^T$ in the frame $\mathcal{C}$ and the 2D images coordinates $I_t^=[u_{l,t} \ v_{l,t}]^T$ and $I_r^=[u_{r,t} \ v_{r,t}]^T$ in the right and left image frames, respectively. According to the ideal pinhole camera model, the perspective projection transforms the feature point $P_t$ from the 3-D space onto the 2-D image plane, such that

$$
\eta = \begin{bmatrix}
u_r & \nu_r & \nu_r \\
\rho_r & \rho_r & \rho_r \\
u_l & \nu_l & \nu_l
\end{bmatrix}
$$

where $f$ is the focal length.

Define the disparity as

$$d_t = u_{l,t} - u_{r,t}$$

The image velocity of the feature point is defined as the time derivative of its image coordinates,

$$
\begin{bmatrix}
u_r' \\
\rho_r' \\
u_l'
\end{bmatrix} = \begin{bmatrix}
\frac{1}{f} & 0 & 0 \\
0 & \frac{1}{\rho_t} & -\frac{\nu_t}{\rho_t} \\
0 & -\frac{\nu_t}{\rho_t} & \frac{1}{f}
\end{bmatrix}
\begin{bmatrix}
u_r \\
\rho_r \\
u_l
\end{bmatrix}
$$

where

$$\mathbf{\eta} = \eta + \epsilon$$

where $\mathbf{\eta}=[\nu_{l,t}, \nu_{r,t}, \omega_{l,t}, \omega_{r,t}]^T$ is the image measurement and $\epsilon_t$ is a zero-mean white noise with covariance matrix \( \mathbf{R}_t \), i.e., $\epsilon_t \sim \mathcal{N}(0, \mathbf{R}_t)$.

III. DESIGN AND IMPLEMENTATION OF STATE AND STRUCTURE ESTIMATION OF TARGET

A. Positions and velocities of feature points

The positions of feature points are estimated by

$$
\tilde{p}_t = \begin{bmatrix}
\rho_{l,t} \\
\rho_{r,t}
\end{bmatrix} = \frac{1}{2d_t}
\begin{bmatrix}
\frac{b(\nu_{l,t} + \nu_{r,t})}{d_t} & \frac{b(\nu_{l,t} + \nu_{r,t})}{d_t}
\end{bmatrix}
$$

The velocities of feature points are determined by

$$
\tilde{v}_t = C_t \tilde{\omega}_t
$$

where $C_t$ is the cross-product matrix expressed as

$$
C_t = \begin{bmatrix}
0 & -a_{11} & a_{21} \\
a_{11} & 0 & -a_{22} \\
a_{21} & a_{22} & 0
\end{bmatrix}
$$

B. Pose and motion estimation of the target

At time instant $t$, the relationship between $\rho_t$ and $r_t$ is expressed as:

$$
\rho_t(t) = \rho_t(0) + \omega_t(0) \times [R_t(0) \ r_t]
$$

where $R_t(t) = [R_t]^T$ is the rotation matrix that transforms vectors from the frame $T$ to the frame $\mathcal{C}$ . Taking the time derivative of (7) yields

$$
\dot{\rho}_t(t) = \dot{\rho}_t(0) + \omega_t(t) \times [R_t(t) \ r_t]
$$

Defining $\dot{\rho}_t(t) = \rho_t(t) - \rho_t(0)$ and $\dot{r}_t = r_t - r_0$, yield

$$
\dot{\rho}_t(t) = \omega_t(t) \times [R_t(t) \dot{r}_t]
$$

Eliminating $R_t(t) \dot{r}_t$ in (9) leads to

$$
\dot{\omega}_t(t) = [\omega_t(0) \times [R_t(t) \dot{r}_t]]^{-1} \dot{r}_t
$$

where $[a \times]$ is the cross-product matrix expressed as

$$
[a \times] = \begin{bmatrix}
0 & -a_2 & a_1 \\
a_2 & 0 & -a_1 \\
a_1 & a_2 & 0
\end{bmatrix}
$$

The angular velocity of the target is estimated using LS method by

$$
\hat{\omega}_t = J(t)^T [J(t)J(t)^T]^+ J(t) \ b(t)
$$

where $N$ is the number of feature points, and
\[
J(t) = \begin{bmatrix}
\delta \dot{p}_1(t) \\
\vdots \\
\delta \dot{p}_{N-1}(t)
\end{bmatrix}, \quad b(t) = \begin{bmatrix}
-\delta \dot{p}_1(t) \\
\vdots \\
-\delta \dot{p}_{N-1}(t)
\end{bmatrix}.
\]

According to (9), the following relationship holds,
\[
\begin{aligned}
\delta \dot{p}_i(t_i) &= R^c_i(t_i) \delta \dot{r}, \\
\delta \dot{p}_i(t_i) &= R^c_i(t_i) \delta \dot{r}, \quad 1 \leq i \leq N - 1
\end{aligned}
\]

where \( t_i = t_0 + k \Delta t \), \( \Delta t \) is the sample interval time, and \( k \) is a positive integer.

Eliminating \( \delta \dot{r} \) in (13) gives
\[
\delta \dot{p}_i(t_i) = R^c_i(t_i) \left[ R^c_i(t_0) \right] \delta \dot{p}_i(t_0), 1 \leq i \leq N - 1
\]

Define \( \delta R^c_i(t_0) = R^c_i(t_0) - R^c_i(t_0) \) as the attitude change from \( t_0 \) to \( t_i \), then (14) is rewritten as
\[
\delta \dot{p}_i(t_i) = \delta R^c_i(t_0) \delta \dot{p}_i(t_0), 1 \leq i \leq N - 1
\]

Equation (15) is solved by the q-method. Choose a set of non-negative weights \( \{ \alpha_i \}, i = 1, 2, \ldots, N - 1 \) and define
\[
B = \sum_{i=1}^{N-1} \alpha_i \delta \dot{p}_i(t_i) \left[ \delta \dot{p}_i(t_0) \right], \quad L(B) = \begin{bmatrix} B + B^T \cdot (trB) & z \end{bmatrix},
\]

where \( z = \sum_{i=1}^{N-1} \alpha_i \delta \dot{p}_i(t_i) \times \delta \dot{p}_i(t_0). \)

The optimal estimation \( \delta q_{\alpha} \) is the eigenvector corresponding to the largest eigenvalue of \( L(B) \). Given the initial relative attitude, the relative attitude quaternion of the frame \( C \) relative to the frame \( T \), \( q_{\alpha} \), can be calculated at any time. Accordingly, the estimated quaternion of the frame \( T \) relative to the frame \( C \) is
\[
\hat{q}_{\alpha}(t_i) = \left[ -\hat{q}^T_{\alpha}(t_i) \hat{q}_{\alpha}(t_i) \right]
\]

C. Position and velocity of the CM estimation of the target
Assume the CM of the target moves approximately at a constant velocity in a short sampling interval \( \Delta t \), such that
\[
\begin{aligned}
\dot{\mathbf{p}}_c(t_i) &= \mathbf{p}_c(t_i - \Delta t) + \dot{\mathbf{p}}_c(t_i - \Delta t) \cdot \Delta t \\
\mathbf{p}_c(t_i) &= \mathbf{p}_c(t_i - \Delta t)
\end{aligned}
\]

Define state as \( X = \left[ \mathbf{p}_c(t_i)^T \dot{\mathbf{p}}_c(t_i)^T \dot{\mathbf{p}}_c(t_i)^T \right] \), which satisfies
\[
X(t_i - j \cdot \Delta t) = A^{j} X(t_i), k - c \leq j < k
\]

where \( j \) is a positive integer, \( c \) is a time period, and

\[
A = \begin{bmatrix}
I_{3 \times 3} & & \\
& I_{3 \times 3} & \\
& & \cdots
\end{bmatrix}, \quad \Delta t \cdot I_{3 \times 3}
\]

The measurement model can be written as
\[
Y(t_i - j \cdot \Delta t) = C(t_i - j \cdot \Delta t) A^{j} X(t_i), k - c \leq j < k
\]

with
\[
Y(t_i) = \begin{bmatrix} Y_1(t_i) & \cdots & Y_N(t_i) \end{bmatrix}^T, 1 \leq i \leq N
\]

\[
C(t_i) = \begin{bmatrix}
\hat{\mathbf{R}}_1^c(t_i) & \cdots & \hat{\mathbf{R}}_N^c(t_i)
\end{bmatrix}
\]

The state \( X \) can be estimated by LS given as
\[
\hat{X}(t_i) = \left( HH^T \right)^{-1} H^T Y
\]

where
\[
H = \begin{bmatrix}
C(t_i) & C(t_i - \Delta t) A^{-1} & \cdots & C(t_i - j \cdot \Delta t) A^{-j} \\
C(t_i - \Delta t) A^{-1} & \cdots & \cdots & \cdots \\
C(t_i - c \cdot \Delta t) A^{-c} & \cdots & \cdots & \cdots 
\end{bmatrix}, \quad Y = \begin{bmatrix} Y_1(t_i - \Delta t) & \cdots & Y_N(t_i - \Delta t) \end{bmatrix}
\]

D. Inertia ratio estimation
In the absence of external torques, the angular momentum of the target is constant in the inertial frame. Since the frame \( C \) is assumed to be aligned with the inertial frame in this paper, the angular momentum of the target is constant in the frame \( C \), which is denoted as \( \mathbf{h}' \). At time instant \( t \),
\[
\mathbf{R}'(t) \mathbf{h}'(t) = I \omega'(t)
\]

where \( \omega'(t) = \mathbf{R}'(t) \omega(t) \)

Define the inertia vector as
\[
x = \left[ I^T \quad \mathbf{h}' \quad \mathbf{h}' \quad \mathbf{h}' \right]^T
\]

with \( I = \begin{bmatrix} I_w & I_w \end{bmatrix} \begin{bmatrix} I_w & I_w \end{bmatrix}^T \), (21) can be rewritten as
\[
A x = 0
\]

where
\[
A = \begin{bmatrix}
\Omega'(t_i) & \mathbf{R}'(t_i) \\
\vdots & \vdots \\
\Omega'(t_{w}) & \mathbf{R}'(t_{w})
\end{bmatrix}
\]
with 
\[
\Omega^i(t) = \begin{pmatrix}
\omega_{ax}^i(t) & \omega_{ay}^i(t) & \omega_{az}^i(t) \\
0 & \omega_{ay}^i(t) & \omega_{az}^i(t) \\
0 & 0 & \omega_{az}^i(t)
\end{pmatrix}
\]

Equation (23) can be solved in the least square, which means that the solution should minimize

\[
f(x) = \|Ax\|_2^2 = (Ax)^T Ax = x^T A^T Ax
\]

Obviously \(f(x)\) has the minimum when \(Bx = 0\)

with \(B = A^T A \in \mathbb{R}^{n \times n}\).

Reordering such that

\[
B = \begin{bmatrix}
b_{h1} & b_{b1}^T \\
b_{b1} & b_{b1} & b_{b2}
\end{bmatrix}
\]

where \(h_{h1} \in \mathbb{R}^+\), \(b_{b1} \in \mathbb{R}^{n \times 1}\), and \(b_{b2} \in \mathbb{R}^{n \times n}\). Setting the first variable in \(x\) to 1, i.e. \(x = [1 \ x^T]^T\), (26) is reduced to

\[
B_x x = -b_{h1}
\]

However, there are physical constraints between the elements of the inertia tensor \(I\). Assuming that \(I_{xx}\) is the largest diagonal element of \(I\), the following constraints hold,

\[
\begin{align*}
0 < I_{yy} < I_{xx} \\
0 < I_{zz} < I_{xx} \\
I_{yy} + I_{zz} > I_{xx} \\
2I_{xx} + I_{yy} + I_{zz} < I_{xx} + I_{yy} + I_{zz}
\end{align*}
\]

Since \(B\) is also a positive-definite matrix. Therefore, the problem is a convex quadratic programming problem with convex quadratic function \(q(x) = \frac{1}{2} x^T B x + b_x^T x\) and inequality constraints (29) by setting the first variable in \(x\) to 1. The interior-point-convex method is adopted here to solve this convex quadratic programming problem.

IV. NUMERICAL SIMULATIONS

In this section, numerical simulations are performed to verify the proposed estimation algorithm. The estimation errors are defined as:

\[
e_{\tilde{\omega}} = \frac{1}{\|\tilde{\omega}\|_2} \|\tilde{\omega} - \tilde{\omega}\|_2, \quad e_{\omega} = \frac{1}{\|\omega\|_2} \|\omega - \omega\|_2
\]

\[
e_r = \frac{1}{D} \|\tilde{r} - r\|_2, \quad e_c = \frac{1}{\|I\|} \|\tilde{I} - I\|_2
\]

where the superscripts “\(\tilde{\cdot}\)” and “\(\cdot\)” denote the estimated value and true value, respectively, \(\|\|\|_2\) is the norm of a vector, \(\tilde{I}\) is the component of the normalization of \(I\), and \(D\) is a reference dimension. The relative attitude estimation error is defined as

\[e_p = 2\cos^{-1}(q_{r})\] (32)

where \(q_{r}\) is the scalar part of the error quaternion defined by \(q_r = q_r \otimes \hat{q}_r\).

In the simulation, the sample time step is assumed to be 1 s, the total simulation period is 3,000 s, and the time period is 10, the number of feature points is 4 and the reference dimension is 3 m, the other conditions are listed in Table I.

<table>
<thead>
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<td>Focal length</td>
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<td>Image noise</td>
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<td>Pixel Size</td>
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<td>Initial state</td>
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<td>Initial velocity</td>
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Fig. 2. Error of estimation. a) error of relative attitude, b) error of relative angular velocity, c) error of position for CM of the target, d) error of position for CM of the target, e) error of position estimation of one feature point, f) error of inertia ratio estimation.

As shown in Fig. 2, the relative state and inertia ratio estimation can get high accuracy by the designed algorithm. The relative attitude error is less than 2.5%, the relative angular velocity error is no more than 4%, and the position and velocity errors of the CM are less than 0.1% and 2.5%, respectively, and the position error of one feature relative the reference dimension is less than 2.5%, the error of the normalized moments of inertia is of magnitude 18%. In fact, the number of the attitudes and angular velocities influence the accuracy of inertia ratio which is based on a least square optimization.
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