

**COMPARISON OF AUTONOMIC AND CARDIOVASCULAR DYSFUNCTION TO
COGNITIVE DECLINE IN PERSONS WITH TYPE 2 DIABETES**

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Abstract

This thesis investigated the relationship between autonomic and cardiovascular dysfunction and cognitive decline in type 2 diabetes. Autonomic indices such as heart rate, blood pressure, pulse wave velocity and cardiac output response to exercise were measured as well as flow mediated dilation and pulse wave reactive hyperemia index. Global cognitive function, executive function and verbal learning tests were administered. Linear regression and correlations were performed between cognitive scores, and vascular and autonomic parameters. Microvascular function was inversely related to verbal learning (n=10, $r=-0.86$, $p=0.0013$) and executive function (n=11, $r=-0.64$, $p=0.033$). Resting cardiac output was associated with verbal learning (n=8, $r=0.77$, $p=0.025$). Heart rate during exercise was associated with global function (n=9, $r=0.84$, $p=0.0020$). The second minute of heart rate recovery was inversely related to global function (n=9, $r=-0.71$, $p=0.030$) and verbal learning (n=8, $r=-0.71$, $p=0.037$). Overall, hypoperfusion and reduced parasympathetic function were predictors of cognitive decline in type 2 diabetes.

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List of Abbreviations

ANS: Autonomic Nervous System

AUC: Area Under the Curve

BDAE: Boston Diagnostic Aphasia Examination

BP: Blood Pressure

cf-PWV: Carotid-Femoral Pulse Wave Velocity

CVLT-II: California Verbal Learning Test Second Edition

DPP-4: dipeptidyl peptidase 4

DSST: Digit Symbol Substitution Test

ECG: Electrocardiogram

ELISA: Enzyme-Linked Immunosorbent Assay

eNOS: Endothelial Nitric Oxide Synthase

FMD: Flow Mediated Dilation

GLP-1: Glucagon-like peptide-1 receptor

HBA1c: Hemoglobin A1c, or Glycated Hemoglobin

HF: High Frequency

HR: Heart Rate

HRR: Heart Rate Recovery

HRV: Heart Rate Variability

LF: Low Frequency

MAP: Mean Arterial Pressure

MMSE: Mini Mental State Exam

MVC: Maximal Voluntary Contraction

NF- κ B: Nuclear Factor kappa B

NO: Nitric Oxide

PNA: Parasympathetic Nerve Activity

PNS: Parasympathetic Nervous System

PTT: Pulse Transit Time

PWRHI: Pulse Wave Reactive Hyperemia Index

PWV: Pulse Wave Velocity

Q: Cardiac Output

RAAS: Renin- Angiotensin- Aldosterone- System

RHI: Reactive Hyperemia Index

ROS: Reactive Oxygen Species

SA: Sinoatrial Node

SCWT: Stroop Colour and Word Test

SERCA: Sarco-Endoplasmic Reticulum Calcium ATPase

SDRR: Standard Deviation of the RR Interval

SGLT2: sodium-glucose cotransporter

SNA: Sympathetic Nerve Activity

SNS: Sympathetic Nervous System

TPR: Total Peripheral Resistance

VST: Stroop Neuropsychological Test -Victoria Version

WAB: Western Aphasia Battery

Chapter 1: Literature Review

1.1. Understanding the Autonomic Nervous System

The autonomic nervous system (ANS) is responsible for unconscious and involuntary physiological processes such as the regulation of ventilation, heart rate (HR), blood pressure (BP), digestion, and sexual arousal. The sympathetic nervous system (SNS) prepares the body for physical activity by redirecting oxygenated blood towards exercising muscles, commonly referred to as the “fight or flight” response. The parasympathetic nervous system (PNS) has the opposite effect and promotes a state of relaxation, known as “rest and digest”.

The ANS plays a significant role in the cardiovascular system by regulating HR and BP. Sensory information is relayed to the ANS through afferent systems that connect to the hypothalamus and tegmental nuclei in the brain through the vagus nerve. For example, baroreceptors in the aortic arch and carotid artery respond to deformation of the vessel wall during changes in BP (Haus et al., 1949). Additionally, chemoreceptors in the carotid bodies found at the bifurcation of the carotid artery and aortic arch, detect oxygen and carbon dioxide concentrations by sensing their partial pressures (Lahiri et al., 1981). When a change in BP is detected, the ANS modulates the balance between sympathetic nerve activity (SNA) and parasympathetic nerve activity (PNA). SNA regulates BP through cardiac and vascular noradrenergic sympathetic nerves (Esler et al., 1995), epinephrine synthesized by the adrenal medulla (Berecek & Brody, 1982), and activation of the renin-angiotensin-aldosterone-system (RAAS) (Tigerstedt & Bergman, 1898). As reviewed by Charkoudian and Rabbitts (2009), SNA influences HR by stimulating the sinoatrial (SA) node, and stroke volume by increasing contractility of cardiac muscle. The sympathetic system also promotes vasoconstriction in the

peripheral vasculature to raise BP (reviewed in Charkoudian & Rabbitts, 2009). The ANS responds to short term increases in BP by reducing SNA to lower vasoconstriction, stroke volume, and HR which in turn reduce BP back to homeostatic levels (reviewed in Charkoudian and Rabbitts, 2009). Meanwhile, the PNS is simultaneously recruited to reduce HR (reviewed in Charkoudian and Rabbitts, 2009).

1.2. Measuring Autonomic Nervous System Function

1.2.1. Response to Isometric Hand Grip Exercise

Response to isometric hand grip exercise can be used to evaluate the autonomic control over the cardiovascular system. Sustained hand grip exercise increases HR and BP to provide adequate blood supply to working muscle (Martin et al., 1974). Hand grip exercise increases mean arterial pressure (MAP) (Alam & Smirk, 1937; Lind & McNicol, 1967) by activating the exercise pressor reflex. Mechanically sensitive group III afferent fibers, and metabolite sensitive IV afferent fibers are stimulated (Kaufman et al., 1983; J. H. Mitchell et al., 1983) resulting in increased SNA and vagal withdrawal (Arai et al., 1989; Kamiya et al., 2001). The rise in HR during hand grip exercise is attributed to vagal withdrawal (Martin et al., 1974; Freyschuss et al., 1970), and the continued stimulation of HR is maintained by sympathetic activation (Leon et al., 1970; Martin et al., 1974; Robinson et al., 1966). Recovery of resting BP and HR following exercise cessation is due to reactivation of the PNS (Imai et al., 1994). Healthier individuals return to resting values faster than those with pathological conditions such as hypertension (Jackson et al., 1973; Martin et al., 1974). Furthermore, heart rate recovery (HRR) following exercise represents autonomic function (Savin et al., 1982) and is a predictor of mortality (Cole & Lauer, 1999).

1.2.2. Pulse Wave Velocity

Large artery stiffness is an important indicator of cardiovascular risk as it is the earliest sign of mechanical stress and vessel remodeling, a process associated with cardiovascular disease. Pulse wave velocity (PWV) is the gold standard method for non-invasively determining arterial stiffness and represents the velocity of pulse pressure waves created by the systolic contraction of the heart. Studies show that PWV is a predictor of cardiovascular events (Matsuoka et al., 2005; Mattace-Raso et al., 2006; G. F. Mitchell et al., 2010; Sutton-Tyrrell et al., 2005) and mortality (Cruickshank et al., 2002; Laurent et al., 2001; Shokawa et al., 2005). The current study will use PWV as an index of sympathetic activity as sympathetic innervation has a vasoconstrictive influence on the vasculature (Charkoudian et al., 2005) and reduces arterial wall distensibility (Failla et al., 1999; Gerová & Gero, 1969). The current study will use a previously established technique using pulse pressures recorded at the levels of the finger and toe to calculate PWV as an index of SNA (Edgell et al., 2016; Fouladi et al., 2019), as described in the methods.

1.2.3. Heart Rate Variability

Heart rate variability (HRV) is considered the gold standard technique for determining cardiac autonomic balance. HRV is a valid measure of autonomic function in diabetes (Benichou et al., 2018) and is capable of predicting hypertension and cardiovascular risk (Schroeder et al., 2003; Tsuji et al., 1996). HRV represents the variation between successive heart beats and is predominately controlled by vagal tone while at rest (Montano et al., 1998; Pomeranz et al., 1985), which produces greater variation. Low HRV and reduced baroreceptor sensitivity are

indicators of higher resting sympathetic tone and cardiac autonomic dysfunction (Rosengård-Bärlund et al., 2011). The current study will determine the relative contributions of the SNS and PNS using spectral analysis and frequency domain parameters such as low frequency (LF; an index of combined SNS and PNS), high frequency (HF; an index of PNS), and LF/HF ratio (an index of SNS) (Malik et al, 1996), as described in the methods. A time domain parameter of HRV, standard deviation of the RR interval (SDRR) will also be calculated as described below.

1.3. Autonomic Function and Type 2 Diabetes

Type 2 diabetes is associated with arterial stiffness (Cruickshank et al., 2002) and cardiac autonomic dysfunction (Meyer et al., 2004; Tentolouris et al., 2003). In people with type 2 diabetes, arterial stiffness measured with PWV can be used to predict mortality (Cruickshank et al., 2002) and HRV is associated with autonomic dysregulation (Meyer et al., 2004) whereby individuals with type 2 diabetes exhibit reduced HRV (Benichou et al., 2018; Coopmans et al., 2020). Indeed, studies have shown that parasympathetic nerve fibers of the heart can be damaged in type 2 diabetes, leading to the development of cardiac autonomic neuropathy reducing HRV (Krishnaswamy et al., 2015).

1.4. Understanding Vascular Function

The vascular system is comprised of large vessels such as arteries and veins in addition to arterioles, capillaries and venules that are classified as the microvasculature (reviewed in Pugsley & Tabrizchi, 2000). These vessels form an elaborate network throughout the body to deliver vital nutrients and oxygen carried by the blood. Additionally, cellular and metabolic waste are transported by the vascular system for clearance. Arteries transport blood from the heart to

arterioles and then continuously bifurcate into capillaries, the vessels with the smallest diameter, where substances are exchanged across the capillary walls. Blood flow transitions to the venous system at the level of the capillary due to connections with venules and veins connecting back to the heart. Borysenko and Beringer described three distinct layers of the vessel walls that differ in their proportion of smooth muscle and elastin content, giving rise to unique physiological functions (Borysenko and Beringer, 1984). The tunica intima is the thin innermost layer, formed by a single layer of endothelial cells anchored by the basal lamina (also known as basement membrane). Endothelial cell function is an important determinant of vascular health due to their role in promoting tissue homeostasis and regulation of vascular tone, a feature that will be discussed below. The tunica media is the intermediate layer, consisting of smooth muscle and elastin fibers, which give vessels their contractile properties. Lastly, the tunica adventitia is the outermost layer, consisting of fibro-elastic connective tissue for protection.

Blood vessels are capable of dilating and constricting in response to stimuli. Vasodilation is the outward expansion of blood vessel walls as vascular smooth muscle relaxes. This increase in vessel diameter accommodates a greater volume of blood and lowers total peripheral resistance (TPR) and BP. Vasoconstriction refers to the reduction in vessel diameter that occurs as the vascular smooth muscle contracts raising the TPR and BP. The sympathetic branch of the ANS regulates BP by influencing vascular tone (reviewed in Bruno et al., 2012). At rest, blood vessels are slightly vasoconstricted and may further contract or dilate if required.

1.5. Reactive Hyperemia

Reactive hyperemia is the compensatory physiological response to increase tissue reperfusion after arterial occlusion. Peripheral microvascular function can be determined non-invasively by

activating reactive hyperemia and measuring an individual's capacity to vasodilate (reviewed in Flammer et al., 2012). Experimental reactive hyperemia consists of a large influx of blood that occurs following the release of arterial occlusion to a limb (Bier, 1897) via a BP cuff wrapped around a segment of a limb inflated to suprasystolic pressures. For forearm occlusion, guidelines suggest inflating the BP cuff to at least 50 mmHg above systolic BP to occlude arterial blood flow to the limb for 5 minutes (reviewed in Corretti et al., 2002; reviewed in Thijssen et al., 2011). As blood flow is restricted, the tissue becomes ischemic and stimulates vasodilation through various physiological mechanisms such as metabolite accumulation (Koller & Kaley, 1990). Vasodilation resulting from increased blood flow during reperfusion is endothelium dependent (Nabel et al., 1990, Rubanyi et al., 1986) and regulated by endothelium-derived nitric oxide (NO) (Joannides et al., 1995). Shear stress is detected by stretch sensitive calcium channels on the surface of the endothelial cell membrane (Lansman et al., 1987) and the flow stimulus is transduced by calcium-activated potassium channels (Cooke et al., 1991; Miura et al., 2001; Olesen et al., 1988). The resulting hyperpolarization of the endothelial cell drives calcium entry into the cell and stimulates endothelial NO synthase (eNOS) by oxidizing L-arginine (Rubanyi et al., 1986; Stuehr, 2004). NO rapidly diffuses into the vascular smooth muscle cells where it triggers a cascade of events leading to vasodilation (Schultz et al., 1977). Calcium availability is reduced by inhibiting voltage gated calcium channels and stimulating the sarco-endoplasmic reticulum calcium ATPase (SERCA) to increase calcium reuptake (Van Hove et al., 2009). As a result, vascular smooth muscle contraction is prevented allowing vascular smooth muscle to relax and dilate.

1.6. Measuring Reactive Hyperemia:

1.6.1. Flow Mediated Dilation

Various techniques have been developed to measure the effects of reactive hyperemia. Early studies used venous occlusion plethysmography (Hewlett & Zwaluwenberg, 1909), a technique that uses a strain gauge around the limb to measure changes in volume. However flow mediated dilation (FMD), an ultrasound technique developed in 1992 by Celermajer and colleagues (Celermajer et al., 1992; Sorensen et al., 1995), is considered the gold standard non-invasive technique for detecting endothelial dysfunction. Using duplex ultrasound, FMD measures shear stress and the percent dilation from resting diameter in a conduit artery during the hyperemic response (typically the brachial artery). In this protocol, a BP cuff is wrapped around the forearm and inflated to restrict blood flow to the distal limb segment during the occlusion period and is rapidly deflated to produce reactive hyperemia. The arm is extended outward at heart level and the brachial artery is imaged using an ultrasound for at least 1 minute of baseline rest, 5 minutes of occlusion, and for at least 3 minutes of recovery (reviewed in Thijssen et al., 2011). The vasodilation observed during FMD is endothelium dependent (Miura et al., 2001, Lieberman 1994), and serves as a surrogate measure of NO bioavailability and endothelial function (Joannides et al., 1995).

FMD has clinical relevance as it has an inverse relationship with cardiovascular risk factors, as shown by the Framingham Heart Study (Miura et al., 2001). Endothelial dysfunction is an early predictor of cardiovascular disease and precedes the development of atherosclerotic plaque (Ludmer et al., 1986). In research settings, FMD has been used to examine the effects of interventions such as drugs (Anderson et al., 2000) or exercise training (Badrov et al., 2016) on vascular function and identify physiological mechanisms behind the responses.

FMD results can be influenced by a series of substances and activities. Participants undergoing FMD are required to refrain from ingesting high-fat foods, caffeine, and alcohol for at least 8 hours prior to testing. Further, participants may not engage in fatiguing exercise or smoking for 8 hours before testing. For repeated measurements or between-group studies, FMD tests should be performed at the same time of day due to diurnal variation (Järvisalo et al., 2006; Ringqvist et al., 2000).

1.6.2. Pulse Wave Reactive Hyperemia Index (PWRHI)

The EndoPAT device (Itamar, Israel) has been validated as a reliable non-invasive instrument for detecting endothelial dysfunction (Bonetti et al., 2004) and has been compared to FMD (Kuvin et al., 2003). A similar protocol of rest, 5 minutes of arterial occlusion, and reactive hyperemic recovery is used while arterial tonometry is measured at the level of the finger. The EndoPAT algorithm calculates the reactive hyperemia index (RHI) by obtaining a ratio of the average finger pulse wave amplitude for 60 seconds of reactive hyperemia compared to the average pulse wave amplitude for 3.5 minutes of baseline in the hand undergoing arterial occlusion (Kuvin et al., 2003). This ratio is then normalized to the non-occluded control arm that is measured concurrently to account for systemic changes in the microvasculature. Although EndoPAT and FMD both measure the blood flow response to reactive hyperemia, they measure distinct physiological mechanisms leading to vasodilation. Nardone and colleagues (2020) found that while EndoPAT is a measure of microvascular function and is correlated with dobutamine mediated coronary vasodilation (and thus dilation due to adrenergic stimulation), FMD is associated with acetylcholine and adenosine mediated coronary vasodilation.

Pulse Wave Reactive Hyperemia Index (PWRHI) is an experimental technique we developed measuring microvascular function. PWRHI is a proposed replacement technique for the EndoPAT and has been shown to have the best correlation when measuring a 10 second period of time around the peak hyperemic response ($r= 0.67$, $p=0.0002$, $R^2= 0.58$; Appendix 1). PWRHI uses the same protocol as the EndoPAT for inducing reactive hyperemia using piezoelectric pulse transducers (ADInstruments, USA) that are wrapped around the tip of the middle fingers rather than proprietary finger cuffs. These transducers are capable of detecting changes in force against its surface which occur with every systolic pulse, and the signal is recorded as a pulse wave signal similar to the first derivative of the waveform generated from the pneumatic finger probes of the EndoPAT that detect changes in plethysmographic pressure (Moerland et al., 2012). Therefore, the area under the curve (AUC) of the piezoelectric waveforms is determined as a surrogate for the EndoPAT signal.

1.7. Vascular Dysfunction and Type 2 Diabetes

Vascular function assessments such as FMD (Henry et al., 2004; Keymel et al., 2011; Maruhashi et al., 2013; Tsuchiya et al., 2007), and peripheral arterial tonometry (Gargiulo et al., 2013; Hahad et al., 2019; Hamburg et al., 2008) have verified that endothelium- dependent vasodilation is impaired in people with type 2 diabetes (Mäkimattila et al., 1999; Williams et al., 1996). Elevated production of reactive oxygen species (ROS) in diabetes contributes to the inactivation of endothelial-derived NO, limiting its ability to trigger vessel relaxation (Chowienczyk et al., 2000). Additionally, there is reduced enzymatic and non-enzymatic antioxidant content to counteract oxidative stress (Sundaram et al., 1996). This is supported by evidence that administering antioxidants can reverse endothelial dysfunction in people with type

2 diabetes (Chowienczyk et al., 2000). Furthermore, reduced NO content is associated with atherogenesis as endothelium-derived NO diminishes vascular lesions (Kolpakov et al., 1995), prevents leukocyte and platelet adhesion to the vessel wall (Radomski et al., 1987), as well as inhibits vascular smooth muscle cell migration to the intima (Sarkar et al., 1996). This, in addition to the increased expression of nuclear factor kappa B (NF- κ B), a pro-inflammatory transcription factor, gives rise to foam cells and atherosclerotic plaques (Zeicher et al., 1995). Studies have found an inverse relationship between endothelial dysfunction and cardiovascular risk factors, supporting the use of endothelial dysfunction as a marker of vascular damage (Gokce et al., 2002; Rubinshtein et al., 2010; Yeboah et al., 2009).

1.8. Understanding Cognitive Function:

The term cognitive function encompasses multiple mental processes involved in acquiring and manipulating information, as well the ability to reason. The current study will focus on two domains that fall under cognitive function: executive function, and memory. Within these domains exist subdomains that are specific to a component ability (reviewed in Harvey, 2019). For instance, executive function contains reasoning, problem solving and component skills management, and requires the interaction of multiple basic and complex processes. Additionally, the memory domain contains the episodic/declarative subdomain containing a verbal component. This is of particular interest as daily experiences requiring verbal learning skills must be encoded and transferred in and out of long-term memory.

1.9. Measures of Cognitive Function

Cognitive function tests aim to evaluate an individual's ability to perform isolated cognitive skills and are commonly used to detect the severity of cognitive decline. The current study will use several cognitive tests in the form of verbal and written tests as well as questionnaires. The Mini Mental State Exam (MMSE) (Folstein et al., 1975) (Supplementary Information 1), California Verbal Learning Test Second Edition (CVLT-II) (Delis et al., 2000) (Supplementary Information 2), Trails Making Test Part A & B (Reitan, 1958) (Supplementary Information 3), Digit Symbol Coding (Supplementary Information 4), Stroop Neuropsychological Test- Victoria Version (VST) (Supplementary Information 5) (Sprenn & Strauss 1998), and Verbal Fluency Test (FAS and Animal Naming) (Supplementary Information 6) will be administered as described in the methods. The MMSE measures degree of cognitive impairment by assessing awareness, attention and memory. The CVLT-II measures verbal learning by testing immediate recall, short delay free recall and long delay recall, which can be combined into one composite score for verbal learning as described in the methods. The Trails Making Test Part A & B measure executive function, cognitive flexibility, visual scanning capability, and working memory. Digit symbol coding assesses incidental memory recall. The SCWT measures an individual's ability to process stimulus attributes while there is another impeding attribute stimulus. And the Verbal Fluency Test determines semantic and phonetic fluency. A composite score for executive function can be calculated using scores from the Stroop Colours test, F-A-S test, Digit Symbol Coding test, and the Trails Making Test Part B, further described in the methods.

Previous studies have used several cognitive function tests together to associate cognitive decline and vascular dysfunction (Brant et al., 2018; Cohen et al., 2009; Kearney-Schwartz et al.,

2009). For instance, Brant and colleagues (2018) used phonetic (F-A-S) and semantic (animal naming) verbal fluency tests, and the Trails Making Test Part B (all described below) to determine if there was an association between cognitive decline and endothelial function evaluated using the EndoPAT but did not find a significant correlation between endothelial function and the cognitive function tests when they adjusted for confounding factors. CVLT-II, Trails Making Part A, Digit Symbol-Coding, and the Stroop Colour Word Interference Test (all described below) have also been compared to EndoPAT scores (Saleem et al., 2019). Saleem and colleagues (2019) found a significant relationship between lower EndoPAT RHI and poor verbal memory performance but not overall cognition. However, they also found that higher RHI was associated with improved overall cognition and processing speed after 3 months of cardiac rehabilitation.

The relationship between autonomic function, specifically HRV, and cognitive decline has previously been investigated (Dalise et al., 2020; Mahinrad et al., 2016; Schaich et al., 2020; Zeki Al Hazzouri et al., 2018). Dalise and colleagues reported a relationship between global cognition measured by the MMSE and HRV sympathetic parameters and Mahinrad and colleagues (2016) found that reduced HRV was associated with poor executive function and processing speed. Further, Schaich and colleagues (2020) reported that measures of cardiac parasympathetic activity were associated with higher scores on the Digit Symbol Coding Test and Zeki Al Hazzouri and colleagues (2018) determined that higher standard deviation between R-R intervals (SDRR) was associated with better executive function and processing speed measured by the Stroop test and Digit Symbol Substitution Test (DSST). Additionally, resting PWV has been associated with cognitive impairment using the MMSE (Hanon et al., 2005; Scuteri et al., 2007; Zhong et al., 2014), as well as the Trails Making Test A & B, DSST, and

Verbal Fluency Test (F-A-S) (Zhong et al., 2014). For instance, Zhong and colleagues (2014) reported an association between arterial stiffness and poor executive function, memory, and psychomotor speed; Hanon and colleagues (2005) found a relationship between high PWV and cognitive impairment and Scuteri and colleagues (2007) determined that PWV was a strong predictor of cognitive decline. These relationships suggest that cognitive function may be associated with both vascular and autonomic function in type 2 diabetes.

1.10. Cognitive Function and Type 2 Diabetes

Individuals with type 2 diabetes are at greater risk for cognitive impairment (Cholerton et al., 2019; Fontbonne et al., 2001; Gregg et al., 2000). For instance, a study by Gregg and colleagues (2000), used the MMSE, Trails Making Test Part B, and a Digit Symbols Test to investigate cognitive decline in older women, and found lower baseline scores among the women with diabetes compared to those without. The MMSE and Digit Symbols Test revealed that the women with diabetes were prone to accelerated decline compared to women without diabetes when tested 3 and 6 years later (Gregg et al., 2000). Furthermore, those with type 2 diabetes are more likely to develop dementia (Leibson et al., 1997).

Appropriate glucose control reduces the risk of cognitive decline (Gao et al., 2008; Shorr et al., 2006). There are several hypotheses explaining the incidence of cognitive decline in type 2 diabetes including lesions in the brain vasculature (Qiu et al., 2014), insulin dysregulation (Ekblad et al., 2017), inflammation (Marioni et al., 2010), and oxidative stress (Berr et al., 2000). For instance, inflammation and oxidative stress resulting from hyperglycemia may lead to neuronal injury and brain atrophy (Lin et al., 2000, Vincent et al., 2005).

Chapter 2: Proposed Study Design

2.1. Research Objectives

This cross-sectional study aimed to determine if there is a relationship between the impaired vascular integrity and autonomic function found in patients with Type 2 Diabetes Mellitus and cognitive decline.

2.2. Hypotheses

We hypothesized that

- 1) Cognitive decline and vascular damage are associated in individuals with type 2 diabetes.
- 2) Cognitive decline and impaired autonomic function are associated in individuals with type 2 diabetes.

2.3. Methods

2.3.1. Participants

A total of 6 women and 6 men were included in the study, 11 with type 2 diabetes, 1 with prediabetes, high Hemoglobin A1c (HBA1c), impaired fasting glucose, or impaired glucose tolerance were recruited to participate. Patients were excluded if they had type 1 diabetes, neurological or neurodegenerative disorders, active cancer, pregnancy, or substance use disorder (diagnosed within the last 5 years). Patients were ineligible if they had a psychiatric diagnosis such as schizophrenia or bipolar disorder. The study was conducted at the Sunnybrook Health Sciences Centre. Ethical approval was obtained from York University Research Ethics Board and Sunnybrook Health Sciences Centre Research Ethics Board. Written informed consent was required from all participants.

2.3.2. Experimental Protocol

2.3.2.1. Patient Anthropometrics

Prior to testing, participant anthropomorphic data was collected. Measurements of height, weight, systolic BP, diastolic BP were taken. Distance from the clavicle to tip of the middle finger as well as from the clavicle to the tip of the great toe were measured and recorded. Participants were fasted for 12 hours and required to avoid caffeine and nicotine consumption during that time. Age, ethnicity, history of smoking, cardiovascular and respiratory conditions, number of times of exercise per week (used as an estimation of VO₂ max) (Ainsworth et al., 1993) and current medications were self-reported. After a period of supine rest, participants were fitted with the necessary equipment required for the vascular and autonomic tests described below.

2.3.2.2. Vascular Health Measures:

Two vascular assessments, FMD and PWRHI, were conducted simultaneously while patients lay supine. A standard BP cuff with trigger release was wrapped around the right forearm. The right arm was extended outward in a supinated position and remained at heart level to allow for ultrasound imaging of the brachial artery. Piezoelectric pulse transducers (ADInstruments, USA) were wrapped around the distal phalanx of both middle fingers, with sensors facing the pad/underside of the finger. Following 3.5 minutes of baseline data acquisition, blood flow was occluded to the right arm by inflating the BP cuff to 40 mmHg above systolic BP for a 5-minute duration. Following the occlusion period, the BP cuff was deflated to induce reactive hyperemia and recording continued for a 3-minute recovery period.

Pulse Wave Reactive Hyperemia Index (PWRHI)

PWRHI was used to measure microvascular function as we have found a strong correlation with the EndoPAT device (Appendix 1). A PowerLab and LabChart software (ADInstruments, USA) was used to capture the pulse wave recordings detected by the piezoelectric transducers on both middle fingers. Pulse waves were analyzed in the test (occluded) and control (non-occluded) arm for 3.5 minutes of baseline (pre) and for the 10 seconds around the peak amplitude (post) following cuff deflation. The area under the curve (AUC) for each cardiac cycle was obtained for the test and control arms. The peak analysis module of LabChart was used to determine the mean AUC in the test and control hands for 3.5 minutes of baseline and 10 seconds around the peak response. The PWRHI score was calculated as the ratio of the AUC during the 10s peak response divided by the baseline AUC in the test arm, and normalized to the ratio in the control arm: $PWRHI = (AUC_{test\ peak} / test\ baseline) / (AUC_{control\ peak} / control\ baseline)$.

Flow Mediated Dilation (FMD)

Flow mediated dilation (FMD) of the right brachial artery was determined using a Phillips Epiq 7g ultrasound (Phillips, USA) and an L18-5 transducer attachment. Ultrasound imaging was performed in duplex mode for a total duration of 10 minutes to capture both vascular images and blood velocity. Video recording lasted for 2 minutes of resting baseline, 5 minutes of vascular occlusion, and 3 minutes of reperfusion following cuff deflation. Ultrasound video was captured using a video grabber device (AV.io HD, Epiphan Systems, USA) and video recording software (VirtualDub version 1.10.4 by Avery Lee). FMD video was analyzed using automated wall tracking software (Cardiovascular Suite, Quipu, Italy). FMD was calculated as the percent

change in the brachial artery diameter from baseline to post occlusion. Shear rate was calculated using an automated software (Cardiovascular Suite, Quipu, Italy). The software determined the time to peak artery diameter, then calculated the area under the blood velocity curve during that time interval. Shear rate was calculated as: $\text{Shear rate} = 8 \times \text{mean blood velocity} / \text{internal diameter}$.

2.3.2.3. Autonomic Nervous System Function Tests

Hand Grip Exercise

The BP and HR responses to hand grip exercise was tested in participants to evaluate their ability to regulate sympathetic activity. Continuous BP was recorded using a Finometer Pro device (Finapres Medical Systems, Netherlands), which required participants to wear a standard BP cuff around their left bicep. Additionally, a finger cuff was placed around the intermediate phalanx of the middle finger of the left hand, and a height corrector was used to apply a hydrostatic correction to the BP. This is required to account for the effect of gravity on the BP in the arm compared to the heart. To further correct for hydrostatic pressure, the left arm was placed in an arm sling to keep the finger at heart level. Participants had electrocardiogram (ECG) electrodes placed on their left rib, and below each clavicle. A piezoelectric pulse transducer (ADInstruments, USA) was placed on the right great toe, with the sensor towards the pad of the toe. Participants held a hand grip dynamometer in their right hand (ADInstruments, USA). All devices were connected to a PowerLab data acquisition device (ADInstruments, USA) and responses were recorded using LabChart software (ADInstruments, USA).

Participants were asked to squeeze a hand grip dynamometer with maximal force for two contractions, one minute apart. The contraction with greater force was used to obtain the

maximal voluntary contraction (MVC). Following 5 minutes of resting supine baseline measurements, participants performed hand grip exercise to 40% of their MVC for 2 minutes. A computer monitor displayed the force applied to the hand grip dynamometer as a percentage of their MVC, to allow participants to regulate the intensity of their exercise. Data was recorded before, during and for 3 minutes after hand grip exercise.

Heart Rate Variability

Heart rate variability (HRV) was collected using a single lead ECG and a PowerLab device. Five minutes of resting supine ECG signal was selected and HRV was determined using the HRV LabChart module to generate an automated report. The automated report contained parameters pertaining to time and frequency domains. The time domain was analyzed using the standard deviation of the RR interval (SDRR) obtained from the report. Frequency domain parameters such as low frequency (LF) measures, high frequency (HF) measures, and low frequency/ high frequency (LF/HF) ratio were determined. LF indicated combined sympathetic and parasympathetic activity, while HF and LF/HF ratio indicated parasympathetic and sympathetic contributions respectively.

Pulse Wave Velocity

Pulse wave velocity (PWV) was determined from the pulse waves from the piezoelectric pulse transducer around the great toe and the Finometer Pro BP cuff around the finger (previously described) that recorded during the entire hand grip protocol using LabChart software. PWV was acquired during the final minute of baseline and for the second minute of hand grip exercise. For each timeframe, ~20 heart beats were analyzed by identifying the foot of

each waveform and calculating the time difference between the start of the waveform for the toe pulse (T start toe) and the start of the waveform for the finger BP (T start finger). Pulse transit time (PTT) was calculated as $PTT = T \text{ start toe} - T \text{ start finger}$. The measured distance from the clavicle to the tip of the finger was subtracted from the distance from the clavicle to the tip of the toe. The PWV was calculated using the formula $PWV = (\text{Distance toe} - \text{Distance finger}) / \text{Average PTT}$

2.3.2.4. Cognitive Function Tests

Verbal tests and questionnaires were administered to assess the cognitive ability of participants. The Mini Mental State Examination (MMSE) is a 30-point questionnaire developed by Folstein and colleagues in 1975 to evaluate global cognitive impairment (Folstein et al., 1975; Supplementary Information 1). A score of 23 or 24 is the recommended cut-off to identify cognitive decline or dementia. The current study required a score greater than or equal to 24 to participate. The California Verbal Learning Test Second Edition (CVLT-II) was used to measure episodic verbal learning and recall (Delis et al., 2000). This edition is shorter in duration than the original California Verbal Learning Test (Delis et al., 1987) and is better tolerated by patients (Supplementary Information 2). The Trails Making Test, Part A & B (Reitan, 1958) was used to measure executive function, cognitive flexibility, working memory and the ability to perform visual scanning. The test required participants to perform several time limited line drawing tasks (Supplementary Information 3). Digit Symbol Coding examinations were used to test incidental memory recall by evaluating an individual's ability to match numbers to an assigned symbol. The Digit Symbol Substitution Test (DSST) by David Wechsler is sensitive to brain damage (Wechsler, 1936; Russell, 1972). The modified Digit Symbol Coding examination used in this

study is similar in concept to the DSST but utilized alternative symbols (Supplementary Information 4). The Stroop Color and Word Test (SCWT) (Golden & Freshwater, 1978; Stroop, 1935) originally designed by Stroop in 1935 is the gold standard measure of attention. This test measures the brain's ability to process an attribute stimulus, a stimulus with a characteristic requiring selective attention, while there is a competing attribute stimulus, referred to as the Stroop interference effect. This can affect multiple subdomains, including processing speed (Jensen, 1965) and cognitive control (Spikman et al., 2001). The current study used the Stroop Neuropsychological Test- Victoria version (VST) (Spreeen & Strauss 1998), which is shorter in length and tolerated by participants who are easily fatigued (Supplementary Information 5). The VST includes identifying the colour of dots, neutral words, and colour names printed using another colour (Spreeen & Strauss 1998). Furthermore, the VST exhibits test retest reliability and is sensitive to several conditions including Alzheimer's disease (Joubert et al., 2010) and aging (Moroni & Bayard., 2009; Troyer et al., 2006). The Verbal Fluency Test (F-A-S and Animal Naming) is a time limited assessment of an individual's language skills that requires participants to list words in phonetic and semantic categories (Supplementary Information 6). Verbal Fluency Tests such as Thurstone's Word Fluency test (Thurstone, 1938, Thurstone & Thurstone 1949) have been modified to include a phonetic component requiring participants to list words beginning with F, A, and S in a one-minute period for each letter (Bechtoldt et al., 1962; Benton, 1968). To test semantic fluency, participants must list words associated with a category such as animal names. For instance, the Western Aphasia Battery (WAB; Kertesz 1982), Boston Diagnostic Aphasia Examination (BDAE; Goodlass & Kaplan 1983), and the Set Test (Isaacs & Kennie, 1973) include animal naming categories.

Cognitive function was determined by assessing executive function and verbal learning by calculating an average of the executive function and verbal learning scores taken from several tests that measure the same aspects to form a composite score. Z-scores that have been normalized to account for age and sex were used. An **executive function cognitive score** was calculated using the z scores of the Stroop Colours test, F-A-S Verbal Fluency Test, Digit Symbol Coding, and Trails Making Test Part B. The z scores of the number of correct trials of the immediate recall, the short delay free recall, and long delay free recall from the CVLT-II were used to obtain the **composite verbal learning score**.

2.3.3. Statistical Analysis

Correlation and linear regression outputs were generated using SigmaPlot software (Systat Software Inc., USA). These comparisons were made between each of the vascular tests and the MMSE score and composite scores of the executive function and verbal learning cognitive assessments. The MMSE scores and executive function and verbal learning composite scores were also compared to the autonomic variables. Data were tested for normality using the Shapiro-Wilk test. Pearson's correlations were performed on normal data and reported using mean \pm standard deviation, nonparametric data were analyzed using Spearman's correlation and reported as median (interquartile range). Participant anthropometric data were calculated as mean \pm standard deviation. Statistical significance was defined as $p < 0.05$. A correlation of 0.00-0.199 and 0.2-0.399 were defined as very weak and weak relationships respectively. Moderate associations were defined as ranging from 0.4-0.599. Correlational values ranging from 0.6-0.799 were considered strong, while values ranging from 0.8-1.0 were considered very strongly associated.

Chapter 3: Results

3.1. Participant Characteristics

Between August 10 2022 and April 11 2023, 12 adult (6 women) participants were assessed. Participant self-identified ethnicity was reported as: Caucasian (n=9), Black (n=1), and Asian (n=2). Sex, age, weight, BMI, systolic and diastolic BP, predicted VO₂ max using the Ainsworth equation (Ainsworth, 1993) are described in Table 1. Equal amounts of males and females were included. Age ranged 70 (65.6-74.8). BMI was normally distributed (29.2 ± 6.1) as well as systolic BP (131.8 ± 15.6), diastolic BP (80.1 ± 9.6), predicted VO₂ max (24.0 ± 5.7) and years of education (18.3 ± 2.5). The majority of participants were diagnosed with type 2 diabetes, consisting of 11 participants which accounted for 92% of the total participants included in the study with 15.7 ± 14.6 years of duration of diabetes. 1 participant was diagnosed with prediabetes and represented 8% of the total participants. Participants had 6.6 ± 0.50 % HBA1c. Self-reported medication usage indicated sulfonylurea use in 2 participants, metformin use in 6 participants, SGLT2 inhibitor use in 3 participants, DPP-4 inhibitor use in 3 participants, GLP-1 agonist use in 1 participant, and insulin use in 4 participants. The majority of participants had hypertension (n=11, 92%), and dyslipidemia (n=9, 75%). There were no current smokers. Self-reported medication use indicated cardiovascular medication usage with 3 participants using aspirin (25%), 7 using angiotensin converting enzyme (ACE) inhibitor or angiotensin receptor blocker (ARB; 58%), 5 participants using beta- blockers (BB) (42%), 3 participants using calcium channel blockers (CCB; 25%), and 9 participants using statins (75%).

Table 1. Anthropometric measures, hemodynamic measures, and medication use of patients with type 2 diabetes

n	12
Sex (Male %)	6 (50)
Age (y)	70.0 (65.6-74.8)
Weight (kg)	81.8 ± 19.2
BMI (kg/m ²)	29.2 ± 6.1
SBP (mmHg)	131.8 ± 15.6
DBP (mmHg)	80.1 ± 9.6
Resting HR (BPM)	67.1 ± 9.1
Predicted VO ₂ Max	24.0 ± 5.7
Years of Education (y)	18.3 ± 2.5
Diabetes Status (n,%)	
Type 2 Diabetes	11 (92)
Duration of Diabetes (y)	15.7 ± 14.6
Pre-Diabetes	1 (8)
HbA1c (%)	6.6 ± 0.50
Antidiabetic Medication (n, %)	
Sulfonylureas	2 (17)
Metformin	6 (50)
SGLT2 inhibitor	3 (25)
DPP-4 inhibitor	3 (25)
GLP-1 agonist	1 (8)
Insulin	4 (33)
Risk Factors (n, %)	
Hypertension	11 (92)
Dyslipidemia	9 (75)
Smoker	0 (0)
Medication use (n, %)	
Aspirin	3 (25)
ACE or ARB	7 (58)
BB	5 (42)
CCB	3 (25)
Statins	9 (75)

Parametric data are presented as mean ± standard deviation. Skewed parametric data are presented as median (interquartile range). Nonparametric data presented as count (percentage).

BMI, body mass index; SBP, systolic blood pressure; DBP, diastolic blood pressure; HR, heart rate; VO₂ Max, maximal rate of oxygen consumption during physical exercise; HbA1c, glycated

hemoglobin; SGLT2 inhibitor, sodium-glucose cotransporter inhibitor; DPP-4, dipeptidyl peptidase; GLP-1 agonist, glucagon-like peptide-1 receptor agonists; ACE, angiotensin converting enzyme inhibitor; ARB, angiotensin receptor blocker; BB, beta-blocker, CCB-calcium channel blocker.

3.2. Comparison of Vascular Function to Cognitive Function

Negative correlations between microvascular function as measured by PWRHI and the verbal learning composite score (n=10, $r=-0.86$, $p=0.0013$; Figure 1) and between PWRHI and the executive function composite score (n=11, $r=-0.64$, $p=0.033$; Figure 2) were found. A strong positive relationship was found between Q at rest and verbal learning (n=8, $r=0.77$, $p=0.025$; Figure 3). PWRHI was not significantly correlated with MMSE ($p>0.05$; Table 2). Endothelial function as measured using FMD and shear rate was not associated with cognitive function measurements ($p>0.05$; Table 2). There was no relationship between Q at rest and global cognitive function or executive function ($p>0.05$; Table 2). Further, PWV, MAP, and HR measured at rest were not associated with cognitive function measurements ($p>0.05$; Table 2).

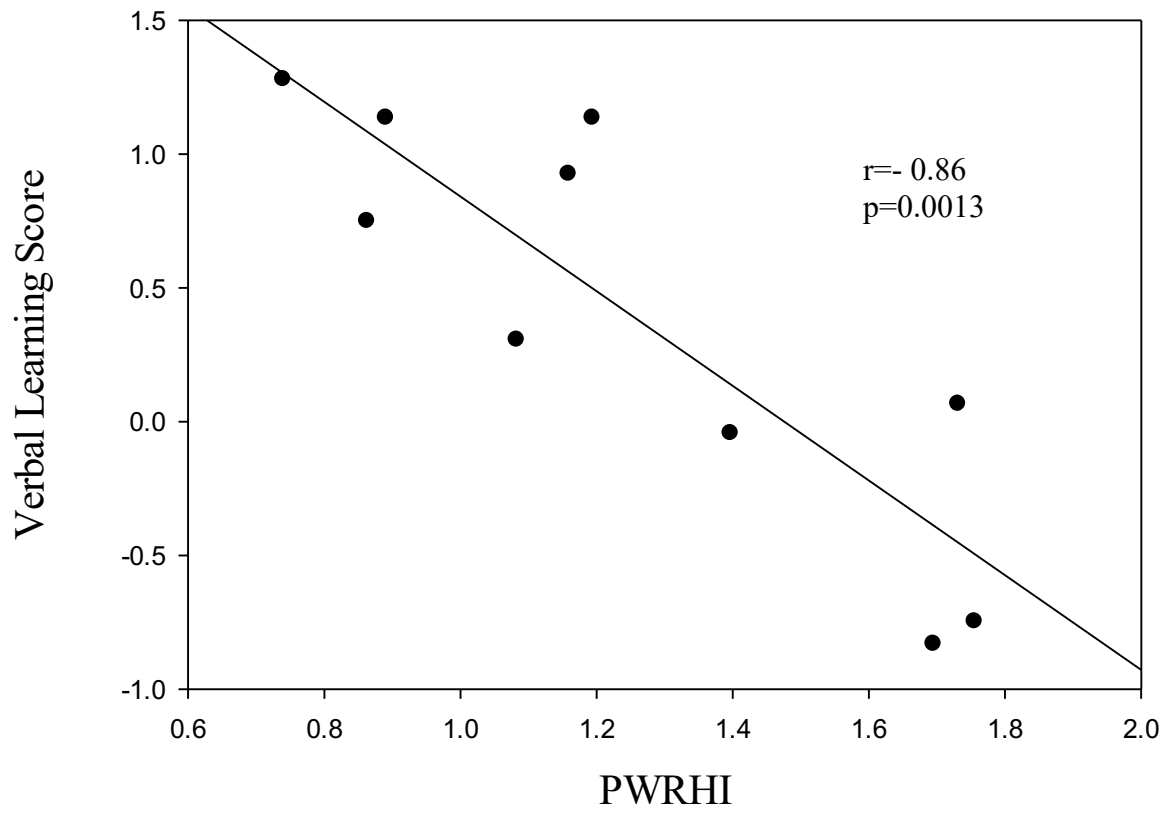


Figure 1. Pearson's correlation calculated between Pulse Wave Reactive Hyperemia Index (PWRHI) and the verbal learning composite score.

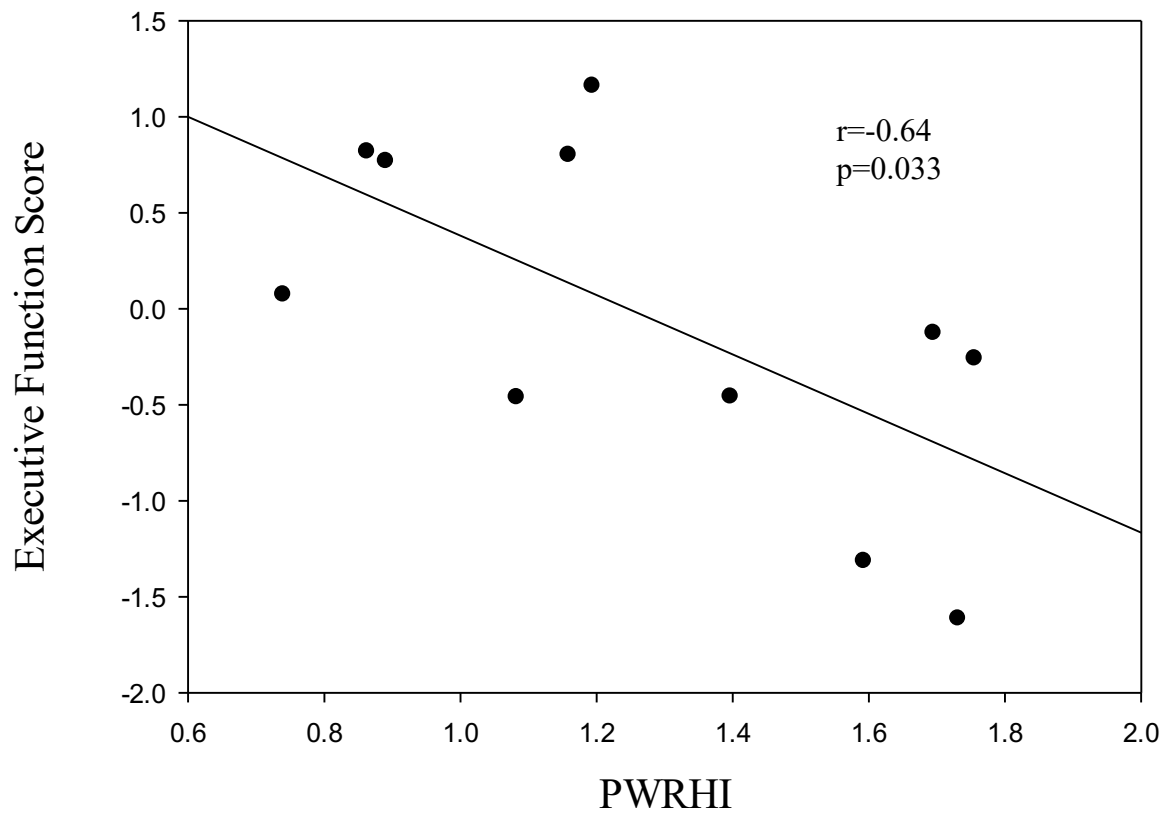


Figure 2. Pearson's correlation calculated between Pulse Wave Reactive Hyperemia Index (PWRHI) and the executive function composite score.

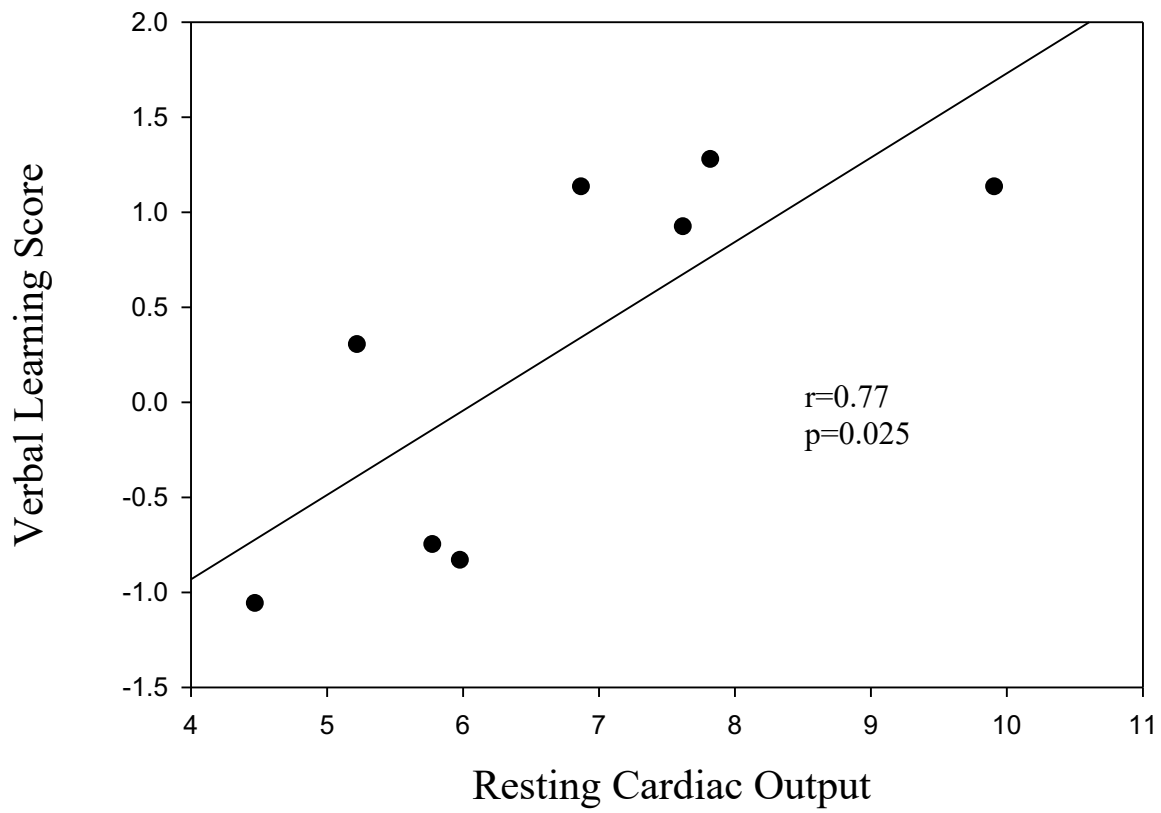


Figure 3. Pearson's correlation calculated between cardiac output at rest and the verbal learning composite score.

Table 2. Correlative data between cardiovascular measures and cognitive function as measured by MMSE, VLC and EFC scores.

Parameter	MMSE				VLC				EFC			
	n	r	p	R ²	n	r	p	R ²	n	r	p	R ²
PWRHI	11	-0.50	0.11	0.19	10	-0.86	0.0013	0.75	11	-0.64	0.033	0.41
FMD (%)	12	0.042	0.89	0.6	11	0.28	0.40	0.079	12	0.21	0.52	0.043
Shear Rate (s-1)	12	0.16	0.60	0.036	11	0.46	0.16	0.21	12	0.14	0.67	0.019
Resting PWV (m/s)	8	-0.44	0.26	0.048	8	-0.66	0.059	0.013	8	-0.20	0.65	0.039
MAP (mmHg)	10	-0.040	0.89	0.034	9	0.075	0.81	0.016	10	0.47	0.16	0.000074
HR (bpm)	10	-0.17	0.63	0.0060	9	0.28	0.46	0.080	10	-0.053	0.89	0.0028
Q (L/min)	9	0.26	0.49	0.28	8	0.77	0.025	0.59	9	-0.058	0.88	0.0033

MMSE, Mini Mental State Examination; VLC, verbal learning composite; EFC, executive function composite; PWRHI, pulse wave reactive hyperemia index; FMD, flow mediated dilation; PWV, pulse wave velocity; MAP, mean arterial pressure; HR, heart rate; Q, cardiac output

3.3. Comparison of Resting Autonomic Function to Cognitive Function

Table 3 found that resting autonomic function as measured using HRV analysis to obtain frequency domains such as HF to measure parasympathetic activation, and LF/HF as an index of sympathetic activation were not significantly correlated with MMSE ($p > 0.05$; Table 3), verbal composite score ($p > 0.05$; Table 3), and executive function composite score ($p > 0.05$; Table 3). The time domain parameter SDRR obtained from HRV analysis was not associated with MSSE ($p > 0.05$; Table 3), verbal learning ($p > 0.05$; Table 3), or executive function ($p > 0.05$; Table 3).

Table 3. Correlative data between resting autonomic measures and cognitive function as measured by MMSE, VLC, and EFC scores.

Parameter	MMSE				VLC				EFC			
	n	r	p	R ²	n	r	p	R ²	n	r	p	R ²
HF (power nu)	11	0.20	0.54	0.017	10	0.23	0.52	0.054	11	-0.41	0.20	0.16
LF/HF (power%)	11	-0.082	0.80	0.024	10	-0.091	0.79	0.0027	11	0.36	0.27	0.22
SDRR	11	0.015	0.95	0.034	10	-0.055	0.87	0.031	11	-0.15	0.65	0.032

MMSE, Mini Mental State Examination; VLC, verbal learning composite; EFC, executive function composite; HF, high frequency component of heart rate variability; LF/HF, low frequency/high frequency component of heart rate variability; SDRR, standard deviation of the R-R interval

3.4. Comparison of Response to Hand Grip Exercise vs Cognitive Function

The MAP response to isometric hand grip exercise was not significantly correlated with any cognitive function measurements ($p > 0.05$; Table 4). HR response to isometric hand grip exercise was not significantly correlated with the verbal learning composite score ($p > 0.05$; Table 4), or the executive function composite score ($p > 0.05$; Table 4). Change in Q and PWV in response to hand grip exercise were not associated with cognitive function measurements ($p > 0.05$; Table 4). Additionally, HRR in the first minute following the completion exercise was not associated with cognitive function measurements ($p > 0.05$; Table 4). HRR in the second minute following exercise completion was not associated with executive function ($p > 0.05$; Table 4). Importantly, a very strong positive correlation was found between HR response to handgrip exercise and MMSE ($n=9$, $r=0.84$, $p=0.0020$; Figure 4) and strong negative correlations were

found between HRR in the second minute following exercise completion and MSSE (n=9, r=-0.71, p=0.030; Figure 5), and verbal learning (n=8, -0.71, p=0.037; Figure 6).

Table 4. Correlative data between response to hand grip exercise and cognitive function as measured by MMSE, VLC and EFC scores.

Parameter	MMSE				VLC				EFC			
	n	r	p	R ²	n	r	p	R ²	n	r	p	R ²
Delta MAP (mmHg)	10	-0.060	0.87	0.0025	9	-0.32	0.41	0.1	10	0.19	0.61	0.035
Delta HR (bpm)	9	0.84	0.0020	0.089	8	0.69	0.057	0.48	9	0.40	0.28	0.16
Delta Q (L/min)	9	0.12	0.74	0.018	8	0.17	0.68	0.030	9	0	0.98	0.000044
Delta PWV (m/s)	8	0.22	0.58	0.077	8	0.20	0.58	0.00031	8	0.048	0.89	0.24
HRR (min 1) (bpm)	9	-0.41	0.24	0.11	8	-0.48	0.22	0.23	9	-0.39	0.30	0.15
HRR (min 2) (bpm)	9	-0.71	0.030	0.052	8	-0.71	0.037	0.52	9	-0.37	0.31	0.026

MMSE, Mini Mental State Examination; VLC, verbal learning composite; EFC, executive function composite; MAP, mean arterial pressure; HR, heart rate; Q, cardiac output; PWV, pulse wave velocity; HRR, heart rate recovery

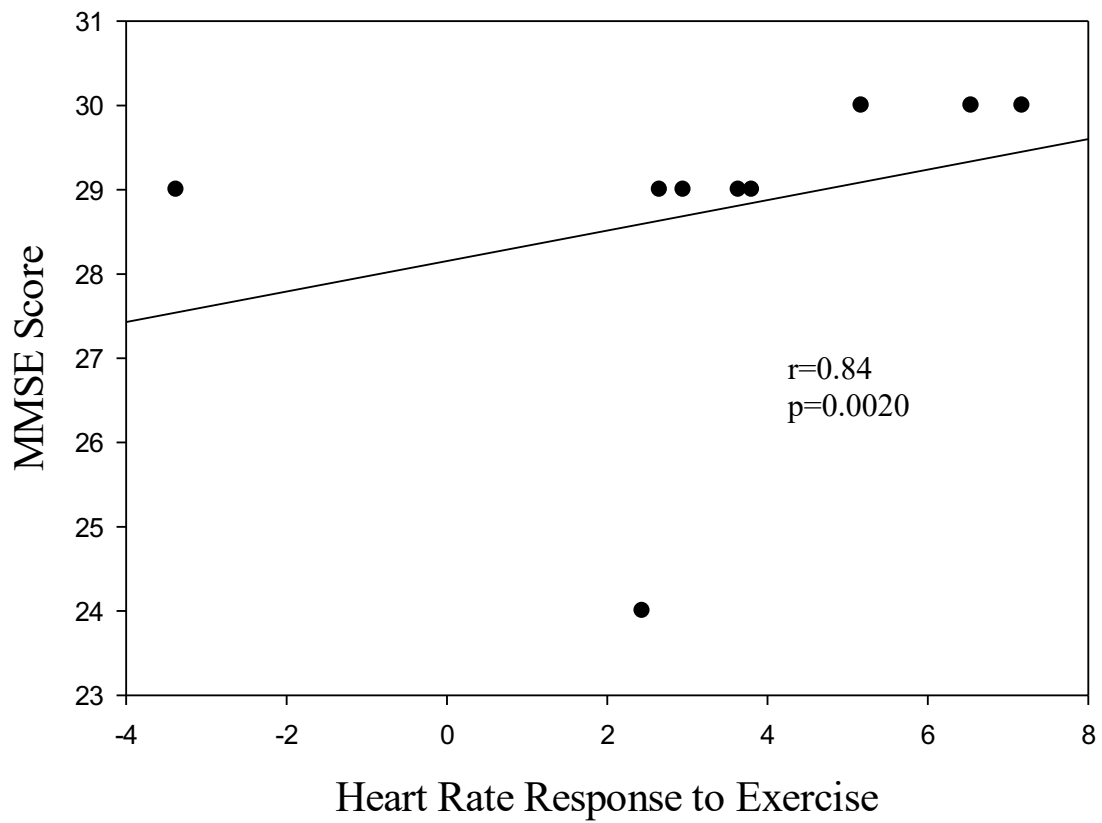


Figure 4. Spearman's correlation calculated between heart rate response to exercise and Mini Mental State Examination (MMSE) score.

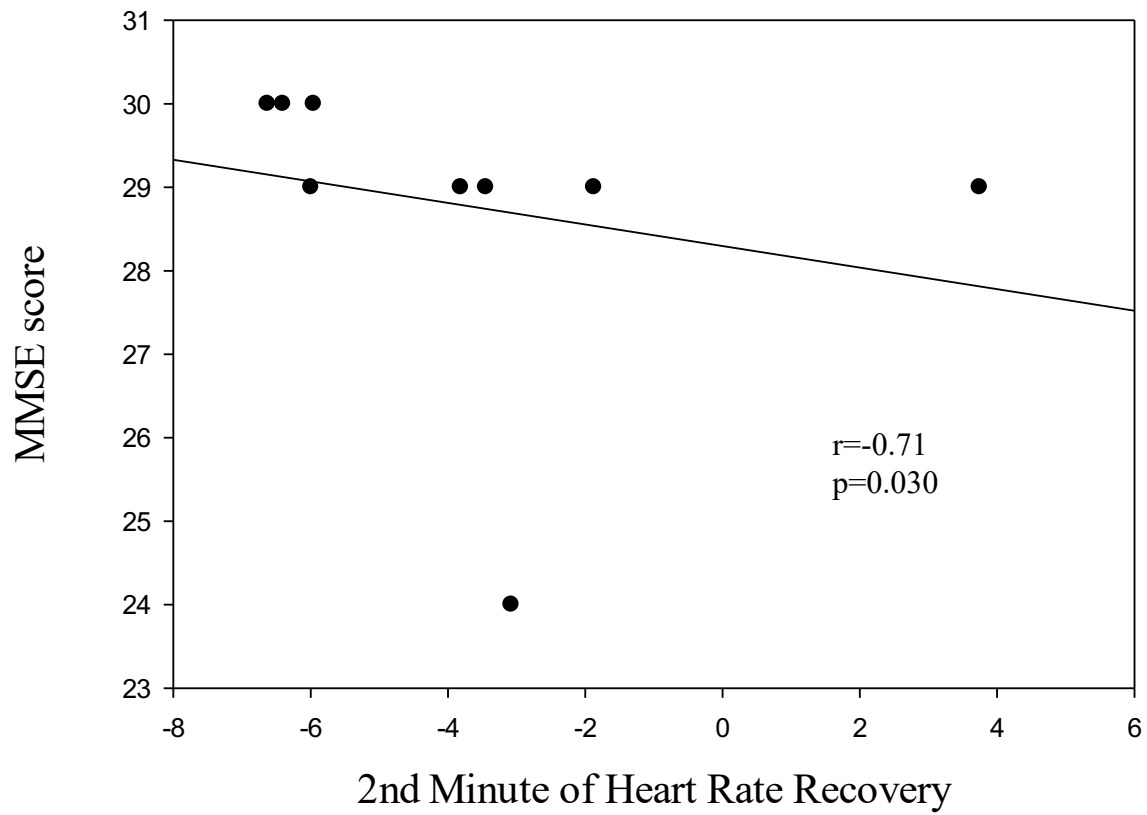


Figure 5. Spearman's correlation calculated between heart rate recovery in the second minute after exercise completion and Mini Mental State Examination (MMSE) score.

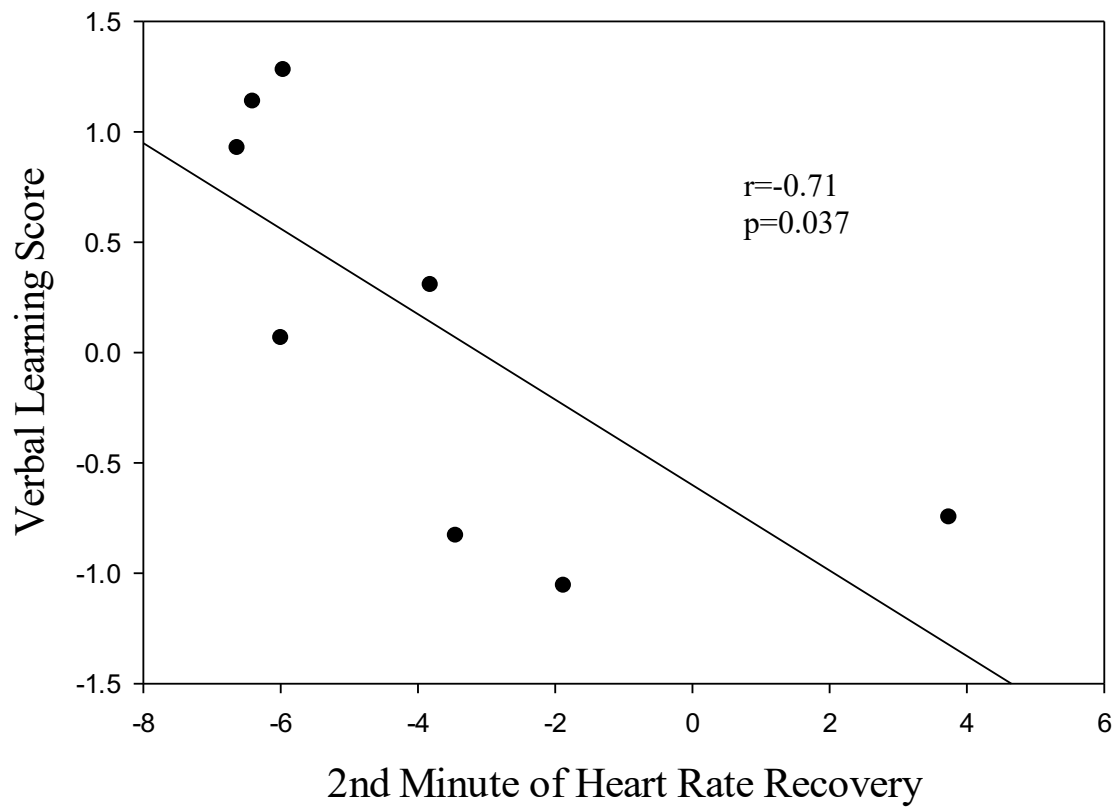


Figure 6. Spearman's correlation calculated between heart rate recovery during the second minute after exercise completion and the verbal learning composite score.

Chapter 4: Discussion

4.1. Summary

The current study aimed to determine if vascular and autonomic dysfunction were associated in persons living with type 2 diabetes. The results of this study indicate that endothelial function and shear rate as measured by the FMD protocol are not associated with cognitive function in these individuals. However, contrary to our hypothesis microvascular function measured using our novel PWRHI technique had a very strong inverse relationship with verbal learning and a strong inverse relationship with executive function. Resting Q had a strong positive relationship with verbal learning. Resting autonomic function, determined using HF, LF/HF and SDRR, was not associated with cognitive function, nor were resting BP, PWV and HR. HRR in the first minute post exercise was not correlated with cognitive function. On the other hand, HR response during exercise was very strongly related to global cognitive function, while HRR in the second minute post-exercise had a strong inverse relationship with both global cognitive function and verbal learning.

4.2. Cardiovascular Measures

Brachial FMD was expressed as a percent difference from baseline diameter, then compared to global cognitive function, verbal learning and executive function. FMD measures vasodilation in response to reactive hyperemia to estimate endothelial function and is an early marker of atherosclerosis. None of the global cognitive function, executive function, nor verbal learning scores were associated with FMD or shear stress in this study. The FMD response is dependent on NO bioavailability and an impaired response may be indicative of endothelial dysfunction (Anderson 1995), oxidative stress (Gurovich et al., 2014), and inflammation

(Kovacs et al., 2006). Previous studies have found increases in baseline diameter and lower FMD in type 2 diabetes compared to individuals with normal glucose metabolism (Henry et al., 2004) potentially due to diminished NO bioavailability due to oxidative stress from ROS (Su et al., 2008). However, our findings suggest that impaired global cognitive function, verbal learning, and executive function are not related to NO dependent vascular function. These findings contrast previously observed associations between FMD and cognitive function in other clinical populations such as stroke (Naiberg et al., 2016), Alzheimer's (Tachibana et al., 2016), and aging (Vendemiale et al., 2013). A meta-analysis conducted by Naiberg and colleagues (2016) concluded that most studies measuring FMD in stroke patients found that impaired vascular function predicts cognitive decline, especially executive function. Tachibana and colleagues (2016) found that FMD and global cognitive function measured by MMSE were significantly correlated and FMD was significantly predicted by MMSE score in Alzheimer's disease and vascular dementia. Vendemiale and colleagues (2013) found that endothelial dysfunction was associated with mild cognitive impairment in older adults. Importantly, there is evidence that markers of atherosclerosis such as FMD may not play a role in cognitive decline in the presence of insulin resistance. For example, Reijmer and colleagues (2011) found associations between metabolic syndrome and impaired cognitive function, however the decline in cognitive ability was not predicted by FMD. Our results suggest that brachial artery endothelial function is not correlated with cognitive dysfunction in type 2 diabetes. Brachial artery shear rate which elicits the FMD response was also not significantly correlated with measures of global cognitive function, verbal learning and executive function. However, studies measuring shear stress in arteries closer to the brain have found associations with cognitive function. For instance, a study by Liu and colleagues (2018) found low shear stress in the common carotid artery was associated

with cognitive impairment measured with MMSE in a group of older adults consisting of 53% adults with type 2 diabetes.

Since we hypothesized microvascular dysfunction (i.e. PWRHI) would be associated with cognitive decline, the inverse relationships between microvascular function and verbal learning and executive function were unexpected as vascular damage has been implicated as a mechanism leading to cognitive decline in type 2 diabetes (Biessels et al., 2006). Additionally, individuals with type 2 diabetes have a higher risk of vascular dementia (Gudala et al., 2013; Hassing et al., 2002) and cerebral small vessel disease (Liu et al., 2018). In previous studies, poorer microvascular function was associated with cognitive impairment in overt cardiovascular disease (Fujiyoshi et al., 2018; Saleem et al., 2019). However, Lim and colleagues (2016) compared peripheral microvascular function and a battery of cognitive function tests in older adults and found no associations with MMSE or a verbal memory composite score but found a positive correlation ($p=0.045$) with executive function. Further, a study by Brant and colleagues (2018) on dementia-free older adults found that after adjusting for confounding variables, namely age, sex, and level of education, the association between peripheral microvascular function and global cognitive function, memory, and phonetic fluency lost significance. The authors suggested that as an early marker of atherosclerosis, peripheral microvascular dysfunction might occur prior to cognitive decline (Brant et al., 2018). The current study found that individuals with type 2 diabetes had lower average PWRHI scores compared to a previously collected cohort of healthy individuals (1.28 ± 0.37 vs 1.49 ± 0.68), confirming that peripheral microvascular function was blunted in these individuals. Considering it may be unlikely that greater microvascular function is truly correlated with impaired cognitive function, our results may indicate that the use of PWRHI is not suitable for use in type 2 diabetes. The PWRHI method requires further testing in

clinical populations. To further illustrate, PWRHI was not correlated with the gold standard method, FMD in this study (Appendix 2). PWRHI may be less effective than FMD at capturing the vasodilatory response to arterial occlusion as it is measured in the vascular beds of the middle fingers which may be too far a distance from the forearm. It is also important to consider that the mechanisms of peripheral microvascular function differ considerably from the mechanisms of cerebral microvascular function (e.g. cerebral autoregulation).

Resting finger-toe PWV, resting MAP and resting HR were not correlated with cognitive function scores. Although the lack of association found between PWV and cognitive function scores was unexpected, it is worth noting that the inverse relationship observed between resting PWV and the verbal learning composite score was approaching significance and could show significance with greater sample size. In a previous study, arterial stiffness measured with the gold standard carotid femoral pulse wave velocity (cf-PWV) technique was found to be higher in individuals with type 2 diabetes compared to healthy controls, and negatively correlated with executive function and verbal memory in type 2 diabetes (Mehrabian et al., 2012). Another study by Laugesen and colleagues (2013) determined that the higher cf-PWV observed in type 2 diabetes was associated with greater prevalence of white matter lesions, further implicating elevated high PWV as a potential contributor to cognitive decline in type 2 diabetes. Therefore, our lack of associations between PWV and cognitive function could also be due to the location of the pulse tonometers on the finger and toe vs. the carotid and femoral arteries. The cf-PWV is indicative of stiffness of the large elastic conduit artery between the 2 locations (i.e. descending aorta) whereas finger-toe PWV is inclusive of both conduit artery stiffness as well as more muscular arteriolar stiffness.

Hypertension is a comorbidity associated with type 2 diabetes. Jamalnia and colleagues (2020) conducted a study on type 2 diabetes and found negative correlations between BP and MMSE, indicating that higher BP may play a role in cognitive decline. Hassing and colleagues (2004) found that greater cognitive decline occurred in people with both diabetes and hypertension than in the presence of either diseases alone. Similar findings were reported by Elias and colleagues (1997) as a part of the Framingham study which found individuals with both conditions are at risk of impaired cognitive function, specifically memory. Interestingly, the population-based Hoorn study found prolonged hypertension, even in the prediabetic stage, is related to cognitive decline in type 2 diabetes (Van Den Berg et al., 2009). Although we hypothesized resting MAP would be associated with cognitive decline, the present study had a very small sample size in comparison to the aforementioned studies that found statistically significant relationships. Moreover, all but one of our participants (92%) use anti-hypertensive medication which may have normalized their MAP response and prevented us from finding an association with cognitive function.

Resting HR, but not Q, is higher in those with type 2 diabetes compared to healthy individuals (Geijselaers et al., 2016; Mac Ananey et al., 2011; O'Connor et al., 2015; Regensteiner et al., 2009). Our results indicate that while resting HR may not be associated with cognitive decline, we found a strong positive association between resting Q and verbal learning. Longitudinal studies in older adults show that reduced Q negatively impacts language and episodic memory domains. Lower cardiac index, the left ventricular Q indexed by body surface area, correlates with lower resting blood flow to grey matter in older adults (Jefferson et al., 2017). Further, brain imaging studies support our findings as the left temporal lobe, associated with language comprehension and verbal memory (Elger et al., 1997), is particularly vulnerable

to lower Q due to fewer collateral blood vessels in this region (Liebeskind, 2003). Overall, our findings support the hypothesis that blood flow is likely altered in type 2 diabetes and negatively impacts cognitive processes. Hypoperfusion in the brain is particularly alarming as neurovascular uncoupling can occur, leaving metabolic demands of neurons unmet resulting in a buildup of waste products, and may increase oxidative stress, putting this population at risk of cognitive decline (Fierstra & Mikulis, 2011).

4.3. Resting Autonomic Function

HR and Q are influenced by the ANS. To investigate autonomic regulation, we measured HRV parameters as indices of sympathetic and parasympathetic control of the heart. HRV data was measured in the time domain using SDRR as an index of parasympathetic activity. The frequency domain was evaluated using HF as an index of parasympathetic activity, and LF/HF ratio as an index of sympathetic activation. These HRV parameters were not associated with global cognitive function, verbal learning, or executive function in this study. Previous work by Dalise and colleagues (2020) found associations between global cognitive function and sympathetic HRV parameters such as SDRR, LF and LF/HF ratio, suggesting elevated sympathetic activation contributes to better cognitive function in older adults. Conversely, recent studies in clinical populations such as cerebral small vessel disease (Hu et al., 2023), and Alzheimer's disease (Nonogaki et al., 2017) found associations between higher sympathetic tone and cognitive decline. Hu and colleagues (2023) recorded 3 consecutive periods of 5-minute resting ECG using a 6-lead ECG in the seated position. Higher HF was associated with better MMSE performance whereas higher LF/HF ratio were associated with worse MMSE performance suggesting those with reduced parasympathetic tone and sympathetic dominance

have worse cognitive function (Hu et al., 2023). Nonogaki and colleagues (2017) measured 5 minutes of ECG in the supine position and found that MMSE was negatively associated with LF/HF ratio, memory was positively associated with HF power, and negatively associated with LF/HF ratio even after adjusting for age, sex, years of education, hypertension, type 2 diabetes, and cholinesterase inhibitor use (Nonogaki et al., 2017). The findings of the present study suggest that resting HRV parameters are not associated with cognitive dysfunction in type 2 diabetes. Although sample size was small, correlations were low and p-values were far from significant. Sympathetic activity might have been more accurately assessed by invasive techniques such as muscle sympathetic nerve activity (MSNA) which is capable of recording sympathetic nerve firing rates directly at the peroneal nerve.

4.4. Response to Exercise

Those with type 2 diabetes are more likely to experience exercise intolerance, as indicated by impaired hemodynamic responses to exercise in comparison to healthy individuals (Regensteiner et al., 2010). We found that MAP response to exercise was not significantly correlated with our measures of cognitive function, however HR measured in the second minute of isometric hand grip exercise had a strong positive association with MMSE score, suggesting that the impaired autonomic response to exercise might play a role in cognitive decline. Similarly, a previous study measuring change in HR during 3 minutes of sustained isometric contraction to 30% of MVC found a smaller HR response in type 2 diabetes compared to healthy controls (Sucharita et al., 2011). In the current study, since the changes in Q and PWV in response to isometric handgrip exercise were not significantly correlated with cognitive function,

yet there was a relationship with a smaller HR response, our findings imply that impaired parasympathetic withdrawal may contribute to cognitive dysfunction.

Post exercise HRR was measured as an index of parasympathetic activity. A greater reduction in HR in the first two minutes following exercise is representative of higher parasympathetic tone, and vagal reactivation typically starts to occur in the first 30 seconds post exercise (Imai et al., 1994). Although no significant relationships were found between cognitive function and HRR during the first minute post exercise in the present study, HRR in the second minute post exercise was inversely correlated with global cognitive function and verbal learning. HRR 1 minute post exercise may have been too soon following exercise to find a meaningful relationship with cognitive function, but our comparisons with cognitive function using HRR 2 minutes post exercise were consistent with the literature as this measure is an established index of parasympathetic activity in adults with (Lipinski et al., 2004) and without (Cole & Lauer, 1999) cardiovascular complications. Although studies on HRR and cognitive function appear scarce, HRR and global cognitive function have previously been associated in cardiovascular disease (Keary et al., 2012). There is also evidence that vagal stimulation is linked to memory, in particular memory consolidation, when assessed by verbal learning examinations (Ghacibeh et al., 2006).

4.5. Limitations and Future Directions

This study was limited by its cross-sectional design as causality could not be established. Future studies should aim to find a more direct relationship between vascular and autonomic dysfunction and cognitive decline using a longitudinal study. The current study was further limited by its small sample size. At most, comparisons consisted of 12 participants, and was as

low as 8 participants in some comparisons. Due to its small sample size, the present study may not be generalizable to all individuals with type 2 diabetes, as there was not enough diversity in demographics such as age and ethnicity as well as sociocultural factors such as level of education. Additionally, significance is difficult to achieve in smaller sample sizes. For example, correlations between resting PWV and verbal learning, as well as between HR response to exercise and verbal learning, were approaching significance and could meet the threshold for significance with a larger sample size. Thus, the findings in the present study should be considered with caution.

Future studies should have sufficiently large sample sizes to carry out multiple linear regression analysis which would estimate the strength of several vascular and autonomic variables as predictors of cognitive performance. Additionally, covariate analysis should be considered as covariates such as age, sex, BMI, resting BP, and race among others are associated with cognitive function testing in type 2 diabetes (McFall et al., 2010). Confounding variables are also important to take into account considering significance may be attenuated when adjusting for confounders such as level of education, history of myocardial infarction or heart failure, as was found by Brant and colleagues (2018). Furthermore, the current study did not examine if cognitive function could be improved by targeting the parameters that were significantly associated with cognitive decline. Lifestyle interventions such as exercise training are useful in targeting vascular and autonomic impairments in other clinical populations and may promote better cognitive function in type 2 diabetes. Cardiac resynchronization therapy has been shown to improve cognitive function by increasing the left ventricular ejection fraction in heart failure (Hoth et al., 2010). Further, HRR is improved with exercise training such as cardiac

rehabilitation (Jolly et al., 2011) which is also associated with enhanced cognitive function (Gunstad et al., 2005).

Additional vascular and autonomic reflex assessments should also be considered. For instance, the cardiovascular response to head up tilt, an orthostatic stress which stimulates the baroreflex, is impaired in type 2 diabetes (Jorge-Galarza et al., 2022). Previous work by Zhang and colleagues (2019) investigated the relationship between orthostatic intolerance and cognitive function and found cognitive function was reduced in those with both orthostatic intolerance and type 2 diabetes compared to those with type 2 diabetes alone. In the current study we assessed peripheral vascular function to make assumptions about brain blood flow. However, measuring cerebral brain flow is very complex. Our lab has previously used transcranial doppler as a non-invasive measure of brain blood velocity (Abidi et al., 2017; Joshi & Edgell, 2019; Pereira et al., 2022), and its addition to the current study could help determine if disturbed brain blood flow contributes to cognitive decline in this population.

Finally, whether all parameters are necessary to continue measuring in expansions of this study must be considered. Parameters that correlated poorly and were far from significant, such as those seen in the endothelial function testing may not yield a significant relationship with cognitive function in a greater sample size. FMD is both technically demanding and operator dependent, requiring an experienced technician to perform all measurements and analysis within a study to maintain consistency. Therefore, in a research or healthcare environment with limited resources, FMD testing may not realize important results as a marker of cognitive health. Additionally, our experimental index of microvascular function PWRHI may not be an appropriate vascular health index in this population, as previously discussed. HRV collected with single lead ECG yielded low correlational values that were far from statistical significance in the

current study. Although HRV analysis may not be necessary, ECG collection is important to continue as HR response to exercise and HRR in the second minute following exercise cessation were related to cognitive function. Additionally, our study indicates that HR response to exercise might have been moderately associated with verbal learning as these findings were approaching statistical significance in the 8 participants collected ($p= 0.057$). The correlation between resting PWV and verbal learning was also approaching statistical significance ($p= 0.059$) and may produce an inverse relationship with greater sample size, indicating that faster blood flow might be associated with cognitive decline in those with type 2 diabetes. PWV measured between the finger and toe is an experimental technique. It is possible that other methods of measuring PWV such as the gold standard cf-PWV will be more accurate and yield a higher and more significant correlation with cognitive function.

4.6. Conclusions

Verbal learning was positively correlated with resting Q and HRR following exercise, and global cognitive function was associated with the HR response to isometric handgrip exercise and HRR in the second minute following exercise in people with type 2 diabetes. These findings suggest that hypoperfusion and impaired parasympathetic function may contribute to cognitive decline in this population. Future studies should further investigate the role of brain blood flow and autonomic reflexes as well as determine the effectiveness of lifestyle modifications that may protect against cognitive decline in this population.

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Appendix 1

In Preparation for Journal of Vascular Research:

A novel method for assessment of endothelial function

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Running Head: Novel method for assessing endothelial function

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Abstract

Current non-invasive methods of determining endothelial function may not be practical or accessible due to requiring experienced personnel or expensive ongoing equipment costs. Piezoelectric transducers can reliably obtain blood pressure waves from the finger, similar to peripheral arterial tonometry devices; thus, their use could be extended to estimate endothelial function. The current study aims to determine the validity of using piezoelectric transducers as an alternative method of measuring endothelial function, as compared to an EndoPAT device. Twenty-five adults (aged 20-64 years) completed a reactive hyperemia test (5 minutes of forearm circulatory occlusion and 5 minutes of recovery) with piezoelectric transducers on the middle fingers and EndoPAT probes on the index fingers concurrently. Average area under the curve (AUC) of the pulse wave signal for both the occluded and control arms were determined at baseline, every 30secs post-occlusion for 3mins, and for 10secs around the peak response. The pulse wave reactive hyperemia index (PWRHI) was calculated as the ratio of AUC post-occlusion to AUC baseline in the test arm and was normalized to the same ratio in the control arm. The PWRHI at each timepoint was correlated with the reactive hyperemia index (RHI) from the EndoPAT. The highest significant correlation was found between the RHI and PWRHI calculated at 10secs around the peak response ($r=0.67$, $p=0.0002$). In conclusion, the PWRHI method is a reusable and user-friendly measure of endothelial function that could provide better access to vascular health screening.

Keywords: non-invasive, piezoelectric transducers, vascular health, clinical, reactive hyperemia, microvascular

Introduction

The vascular endothelium has several crucial roles in maintaining vascular function, including regulating blood vessel tone(Furchgott & Zawadzki, 1980). For example, shear stress acts on the endothelium causing vasodilation via nitric oxide release(Frame & Sarelius, 1996; Palmer et al., 1987; Rubanyi et al., 1986). Chronic conditions such as diabetes and obesity, overexpression of pro-inflammatory mediators, increased oxidative stress, and disturbed arterial flow patterns can all cause endothelial dysfunction(Alexander et al., 2021). Thus, a reduction in endothelial function can be used as a screening tool for estimating cardiovascular risk.

Indeed, endothelial dysfunction has been recognized as a potential predictive and prognostic marker for cardiovascular morbidity and mortality(Gokce et al., 2002b; Kinlay & Ganz, 1997; Sorensen et al., 1994). Various assessments have been developed to evaluate the endothelial function and the quality of an individual's vascular health. The gold standard method for assessing coronary endothelial function is an invasive test involving the infusion of adenosine and/or acetylcholine into the coronary artery to induce vasodilation(Hasdai & Lerman, 1999; Reriani et al., 2010). Comparatively, flow-mediated dilation (FMD) is a non-invasive ultrasound technique that involves imaging the brachial artery to capture increased diameter in response to reactive hyperemia after a period of circulatory occlusion(Corretti et al., 2002) and has been shown to correlate with coronary arterial function(Takase et al., 1998). Further, an operator-independent technique called peripheral arterial tonometry (PAT) using the EndoPAT device measures the reactive hyperemia index (RHI), where arterial pulse waves are recorded in both hands during and after reactive hyperemia. The RHI is the ratio of the pulse wave signal comparing the maximal hyperemic response to baseline while normalizing to the non-occluded hand. Several studies have established this method as a reliable measure of endothelial function(Bonetti et al., 2004; Hamburg

& Benjamin, 2009; Kuvin et al., 2003; Moerland et al., 2012; Nohria et al., 2006), and it has been shown to correlate with FMD measurements(Kuvin et al., 2003). However, in addition to equipment costs, each of these measurements requires hospital affiliation, skilled personnel and/or costly single use operational supplies.

In the current study, we propose using readily available reusable piezoelectric pulse transducers during reactive hyperemia as an affordable, user-friendly method for evaluating endothelial function. The pulse transducers detect changes in force against the piezoelectric crystals, which are translated into a pulse wave signal. Foo et al.(Foo & Lim, 2006) found that these pulse transducers could reliably obtain pulse transit time and heart rate when placed on the radial artery at the wrist compared to commercial ECG and pulse oximetry devices. Further, our lab has previously used pulse transducers to determine pulse wave velocity in conjunction with the pulse wave from a Finometer or Nexfin finger pulse(Edgell et al., 2016). Qananwah et al.(Qananwah et al., 2020) determined that there was a strong relationship and morphological similarities between piezoelectric plethysmograms and volumetric variations of blood circulation at the finger, suggesting that the pulse waves obtained from the piezoelectric pulse transducers are morphologically comparable to the waveforms recorded using the EndoPAT. Therefore, since the signal from the piezoelectric pulse transducer has been used to determine peripheral arterial stiffness and is structurally similar to the arterial tonometry signal from the EndoPAT device, we hypothesize that our new protocol, the pulse wave reactive hyperemia index (PWRHI) method, can be used in lieu of the EndoPAT to determine endothelial function.

Methods

Twenty-five adults (females, n=15; Table 1) were recruited to participate in the current study. Self-identified ethnicity was recorded: 17 Caucasian, 5 Asian/South Asian, and 3 Arab/Middle-Eastern. Ethical clearance was obtained by the York University Ethics Review Board. Written informed consent was taken from each participant before starting the study. Participants were asked to refrain from the consumption of caffeine, alcohol, and fatty foods, as well as smoking and strenuous exercise, for a duration of at least 12 hours prior to testing.

Reactive Hyperemia:

EndoPAT tonometry finger cuffs were placed on both the left and right index fingers. Participants were seated with hands and fingers in a relaxed position over the seat's armrests and palms facing down while feet were firmly planted on the ground. Piezoelectric pulse transducers (ADInstruments, Colorado Springs, USA) were wrapped around both the left and right middle fingertips such that the transducer surface was placed against the pad of the finger. Participants were asked to refrain from moving for the duration of the test to eliminate movement artifacts. A reactive hyperemia test was conducted, which consisted of 5 minutes of baseline, 5 minutes of suprasystolic circulatory occlusion to the left arm (i.e., +50 mmHg), and 5 minutes of recovery following the release of occlusion. Piezoelectric signals were acquired through a PowerLab device (ADInstruments, Colorado Springs, USA) and recorded using LabChart software (ADInstruments, Colorado Springs, USA) for the duration of the reactive hyperemia test to determine the PWRHI.

Data Analysis

LabChart software (ADInstruments, Colorado Springs, USA) was used to determine the continuous positive area under the curve (AUC) of the pulse transducer waveform for each cardiac

cycle. In the test (occluded) and control (non-occluded) arms, the averaged AUC was calculated for 3.5 minutes of baseline prior to occlusion (to match the baseline analysis used by the EndoPAT(Bonetti et al., 2004)), 10 seconds around the peak response, and 30-second averages starting at occlusion release until 3 minutes post-occlusion. For each time point following the occlusion release, the PWRHI was calculated as the ratio of AUC post-occlusion to AUC baseline in the test arm normalized to the same ratio in the control arm:

$$\text{PWRHI} = (\text{AUC}_{\text{test post-occlusion}}/\text{AUC}_{\text{test baseline}})/(\text{AUC}_{\text{control post-occlusion}}/\text{AUC}_{\text{control baseline}}).$$

The RHI was determined with proprietary software (EndoPAT, Itamar Medical, Israel) and was used as the independent variable to compare against the PWRHI method.

Statistical Analysis

Data was tested for normality using the Shapiro-Wilk test. Means and standard deviations were calculated to describe normally distributed data (e.g., height, weight, heart rate), while medians and interquartile ranges (IQR) were calculated to describe variables that failed normality (e.g., age, systolic and diastolic pressure, time to peak). One-way repeated measures analysis of variance (ANOVA) was performed to compare the temporal change in the AUC after release of circulatory occlusion to baseline. Univariate linear regression analysis and correlation analysis were performed between RHI (independent variable) and PWRHI for each time point using Pearson's test for normally distributed data and Spearman's test for non-parametric data using SigmaPlot software (Systat Software Inc., USA). Bland-Altman plots were constructed to compare the two methods. Significance was set at $p < 0.05$.

Results

Participant characteristics, including resting cardiovascular variables, are described in Table 1. The AUC of the occluded arm increased during reactive hyperemia, where the median time to the peak AUC response was 72 seconds (IQR: 62-114s). At this time, the AUC was significantly higher than baseline (0.014au (0.010-0.025au) vs 0.010au (0.005-0.021au), $p < 0.001$). Compared to baseline, significant increases of the positive AUC in the test arm were observed after 90 sec [0.016au (0.010-0.025au), $p < 0.001$], 120 seconds [0.017au (0.010-0.025au), $p = 0.001$] and 150 seconds [0.017au (0.010-0.024au), $p < 0.001$].

There were significant positive correlations between RHI and PWRHI at every timepoint analyzed (Table 1); however, the highest correlation was observed at 10 seconds around the peak pulse amplitude in the hyperemic phase (Table 1; Figure 1). This was supported by the Bland-Altman plot, which shows good agreement between methods using the 10 seconds around the peak (Figure 2).

Discussion

The results of this study indicate that the PWRHI method using piezoelectric pulse transducers is a suitable and less expensive alternative for evaluating endothelial function compared to alternatives such as cardiac catheterizations, ultrasound imaging, and EndoPAT. It was found that PWRHI, calculated using a ratio of the AUC at the 10 seconds around the peak amplitude after cuff release to baseline and normalized to the control arm, had a strong correlation and good agreement with the RHI generated by the EndoPAT, a device that has been validated for the measurement of endothelial function.

The EndoPAT generates its RHI score by calculating a ratio of the average pulse wave amplitude during the 60-120 seconds following cuff release to the 3.5 minutes prior to inflation in

the test arm and normalizing it to the control arm(Bonetti et al., 2004). The strong correlations between the two methods can be partly explained by the similar time frames utilized and the morphological similarities of the pulse waves generated by the two methods. For example, we used a similar baseline period compared to the EndoPAT algorithm (i.e. 3.5 minute average), and the time of maximal response in the current study overlapped the previously observed time of maximum response of the EndoPAT device (60-120s) Similarly, previous studies have also observed visual similarities between the two pulse waveform types used in this study(Qananwah et al., 2020) suggesting comparability between methods.

Although we observed good agreement between the two methods, the RHI and PWRHI scores were not perfectly correlated. Potential differences could stem from the fact that the inflatable EndoPAT probes measure blood volume in the entire tip of the index finger, and the piezoelectric sensors detect changes in pressure against the ventral surface of the middle fingertip, which is a much smaller surface area. Further, the EndoPAT probes exert a counterpressure (70mmHg) to prevent venous pooling(Kuvin et al., 2003), while the piezoelectric sensors are incapable of doing so.

Limitations

The current study is limited by its small sample size. However, the included study population was heterogenous and included individuals of varied ages, sexes, and ethnicities. Even so, this method should be repeated in larger and more diverse populations to identify any differences associated with age, sex, ethnic group and health status. Our participants were generally healthy, where none declared previously diagnosed cardiovascular disease, and only 3 declared having asthma. Future studies should investigate the ability of the PWRHI method to

detect endothelial dysfunction in unhealthy populations. It would be expected that individuals with vascular damage or endothelial dysfunction would have a delayed or blunted hyperemic response, as observed with the EndoPAT. A larger sample size will also allow the determination of threshold values for the detection of endothelial dysfunction using the PWRHI method and the generation of receiver operating characteristic (ROC) curves, which demonstrate the sensitivity and specificity of a methodology for predicting disease risk. For example, Heiss et al.(Heiss et al., 2023) have previously obtained reference intervals from FMD that can be used to predict cardiovascular risk using ROC curves. Further, the repeatability and reliability of PWRHI across day-to-day measurements need to be investigated, as the current study conducted a single trial.

In the present study, testing was conducted in the seated position, and recent work suggests that the natural logarithm of RHI is suppressed in the upright posture(Habib et al., 2022); thus, our RHI values may be underestimated when compared to other studies and/or population averages. Similarly, our methodologies may further underestimate RHI due to the use of forearm occlusion to induce reactive hyperemia rather than upper arm occlusion as per EndoPAT recommendations. For consistency within our lab, forearm occlusion was chosen to replicate a previous lab study that demonstrated a relationship between dobutamine-induced coronary vasodilation and the EndoPAT response(Nardone et al., 2020).

Conclusion

The PWRHI method of evaluating endothelial function can be used instead of the EndoPAT RHI and is advantageous as its reusability and accessibility will allow researchers, clinicians, and their patients to have better access to vascular testing. The devices and the associated software are easy to use and make the analysis of the pulse wave recordings convenient.

Additionally, the simplicity of this method would allow it to be used as an effective demonstration of reactive hyperemia in undergraduate physiology labs that possess the required equipment.

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Table 1. Anthropometrics and resting cardiovascular variables. Data is presented as mean±SD or median with interquartile ranges.

Parameter (n = 25)	
Age (years)	27 (22- 38)
Height (cm)	169±11
Weight (kg)	78±22
Systolic blood pressure (mmHg)	110 (102- 126)
Diastolic blood pressure (mmHg)	76 (67- 80)
Heart Rate (bpm)	76±11

Table 2. Correlative data between endothelial function as measured by EndoPAT RHI versus the novel PWRHI method.

	10s around peak amplitude ratio	0-30s amplitude ratio	30-60s amplitude ratio	60-90s amplitude ratio	90-120s amplitude ratio	120-150s amplitude ratio	150-180s amplitude ratio
Spearman's r (p-value)	0.67 (p=0.0002)	0.49 (p=0.011)	0.57 (p=0.002)	0.63 (p=0.0007)	0.58 (p=0.002)	0.66 (0.0002)	0.56 (p=0.003)
Coefficient of Determination (R ²)	0.58	0.37	0.45	0.49	0.43	0.47	0.36

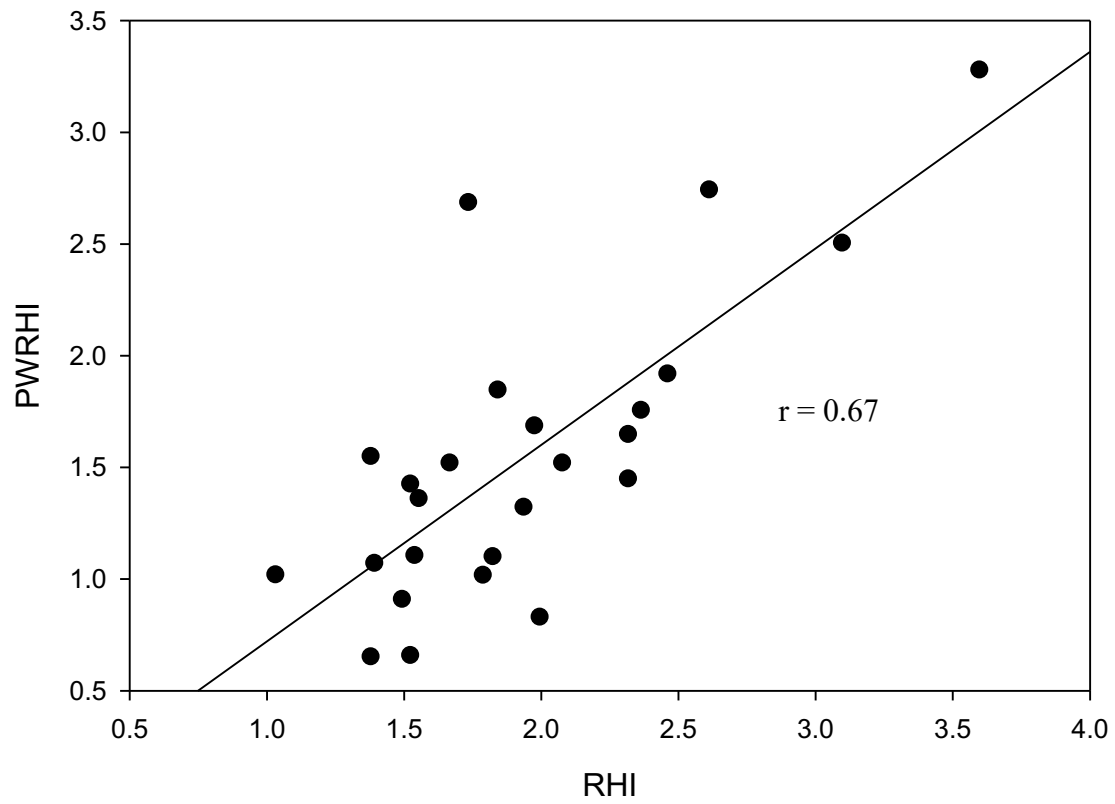


Figure 1. Spearman's R correlation calculated between EndoPAT RHI and PWRHI at 10sec surrounding the peak hyperemic response.

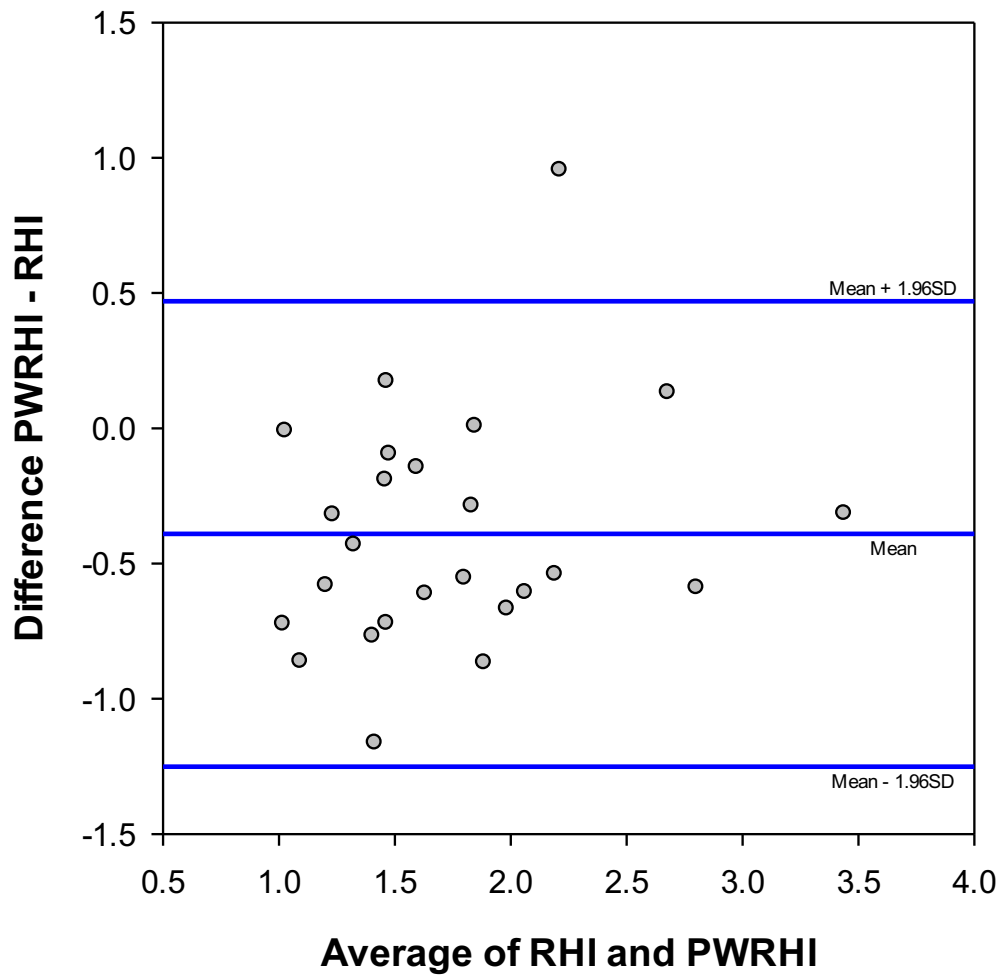
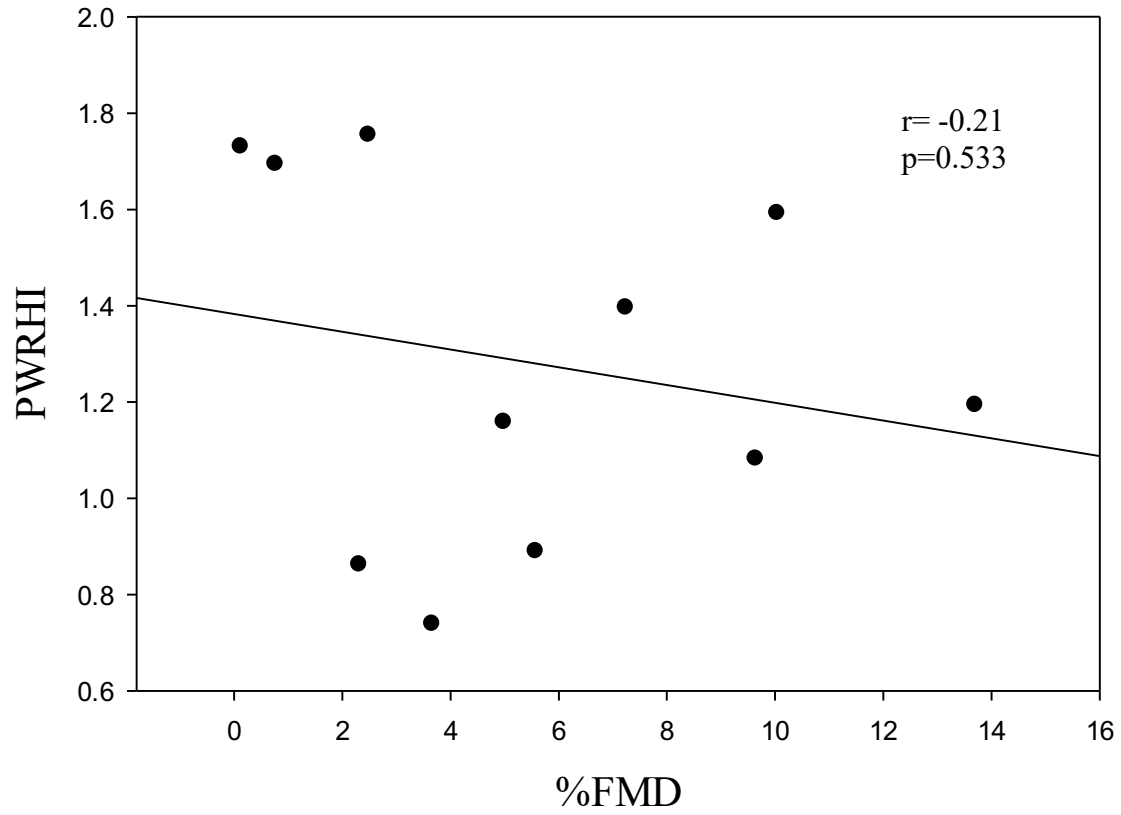


Figure 2. A Bland-Altman plot demonstrating the agreement between RHI and PWRHI at 10sec around peak. The difference between methods was plotted against the average of both methods. Lines represent the upper and lower limit of agreement, a good agreement is found between both methods since most values fall within this range.

Appendix 2



Appendix 2. Correlation between percent increase in Flow Mediated Dilation (FMD) and Pulse Wave Reactive Hyperemia Index (PWRHI).